ARKI

ARK ARTIFICIAL INTELLIGENCE & ROBOTICS UCITS ETF

ARK INVEST

FUND DESCRIPTION

The ARK Artificial Intelligence & Robotics ETF ("ARKI") seeks to invest in companies involved in artificial intelligence, autonomous technology and robotics. These are companies that are expected to focus on and benefit from the development of new products or services, technological improvements and advancements in scientific research related to, among other things, disruptive innovation in artificial intelligence, automation and manufacturing, transportation, energy and materials.

WHY INVEST IN ARKI?

Exposure to Innovation: Aims for thematic multi-cap exposure to innovation elements including intelligent devices, neural networks, autonomous mobility, and adaptive robotics. ARK believes the securities held in ARKI present the best risk-reward opportunities from ARK's Artificial Intelligence and Robotics themes.

Growth Potential: Aims to capture long-term growth with low correlation to relative returns to traditional growth strategies and negative correlation to value strategies.

Tool for Diversification: Offers a tool for diversification due to little overlap with traditional indices. It can be a complement to traditional value/growth strategies.

Grounded in Research: Combines top-down and bottom-up research in its portfolio management to identify innovative companies and convergence across markets.

Cost Effective: Provides a lower cost alternative to mutual funds with true active management in an Exchange Traded Fund (ETF) that invests in rapidly moving themes.

FUND DETAILS

As of 30 June, 2026

ETF	**ARK Artificial Intelligence & Robotics UCITS ETF**
Type	**Active Equity ETF**
ISIN	**IE0003A512E4**
Fund Size (AUM)	**$337.6 Million**
TER	**0.75%**
Inception Date	**12 April 2024**
SFDR Classification	**Article 8**
Holdings	**44**
Base Currency	**USD**
Income Treatment	**Accumulating**
Exposure	**Global Equities**
Domicile	**Ireland**
UCITS	**Yes**
Portfolio Manager	**Catherine D. Wood**
Associate Portfolio Managers	**Dan White, Nicholas Grous**
Issuer	**ARK Invest UCITS ICAV**
Promoter	**ARK Invest International Ltd**
Manager	**IQ EQ Fund Management (Ireland) Ltd**
Investment Manager	**ARK Investment Management LLC**
Depositary / Custodian	**Northern Trust Fiduciary Services (Ireland) Ltd**
ISA Eligible (UK)	**Yes**
SIPP Eligible (UK)	**Yes**
Reporting Fund Status (UK)	**Yes**
Equity Fund (DE)	**Yes**

SUSTAINABILITY PROFILE

As of 30 June, 2026

MSCI ESG Rating	**BBB**

In both its "top down" and "bottom up" investment approaches, the Investment Manager evaluates environmental, social and governance ("ESG") considerations.

The "top down" approach explicitly selects for technologies that the Investment Manager anticipates will have high degrees of impact on the UN SDGs. For a company to be eligible for selection, it must be involved in a technology platform that makes a "meaningful" contribution to at least two UN SDGs, as determined by the Investment Manager.

The following ESG considerations are also integrated into the "bottom up" approach:

- Business Involvement Screens (Controversial Weapons, Thermal Coal, Oil & Gas, Gambling, Adult Entertainment, Tobacco, Alcohol)
- Violations of International Norms and Standards
- Controversies
- Poor Governance

The Fund is also supported by the Investment Manager's Engagement and Voting programmes.

TECHNOLOGY BREAKDOWN

As of 30 June, 2026

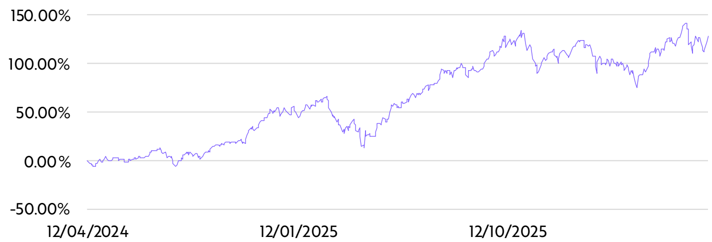
Next Gen Cloud	**29.5%**
Autonomous Mobility	**20.1%**
Neural Networks	**16.9%**
Intelligent Devices	**11.8%**
Reusable Rockets	**5.6%**
Advanced Battery Technology	**5.2%**
Specialized Robots	**5.2%**
Digital Wallets	**2.7%**
Cryptocurrency Pioneers	**1.0%**
Humanoid Robots	**0.6%**
Market Beta	**0.4%**
Multiomic Technologies	**0.3%**
Precision Therapies	**0.3%**
3D Printing (deprecated)	**0.2%**

ARKI Information as of 30 June, 2026 ARK ARTIFICIAL INTELLIGENCE & ROBOTICS UCITS ETF

TRADING INFORMATION

Exchange	Currency	Listing Date	SEDOL	Bloomberg Ticker	RIC
Borsa Italiana	EUR	06 May 2024	BKVDT55	ARKI IM	ARKI.MI
Deutsche Börse Xetra	EUR	18 April 2024	BKVDT44	AAKI GY	AAKI.DE
CBOE Amsterdam	EUR	19 April 2024	BKVDTQ6	ARKIx I2	ARKIx.DXE
London Stock Exchange	USD	19 April 2024	BKVDT11	ARKI LN	ARKI.L
London Stock Exchange	GBP	19 April 2024	BKVDT22	ARCI LN	ARCI.L
SIX Swiss Exchange	CHF	14 August 2024	BPCM0L9	ARKI SE	ARKI.S

PERFORMANCE RETURN



Cumulative	ETF
Year to date	10.66%
1 month	-4.68%
3 months	23.24%
6 months	10.66%
1 year	27.96%
Since Inception	127.92%

Past performance is not a reliable indicator of future results and is not guaranteed. The ETF performance is the NAV per share and is net of all fees.

TOP 10 HOLDINGS

Tesla Inc	**9.1%**
Advanced Micro Devices Inc	**8.9%**
Taiwan Semiconductor Mfg Co Ltd	**4.7%**
Alphabet Inc	**4.1%**
Palantir Technologies Inc	**3.8%**
Space Expl Technologies Corp	**3.6%**
Meta Platforms Inc	**3.6%**
Teradyne Inc	**3.4%**
Shopify Inc	**3.3%**
Coreweave Inc	**3.1%**
	47.6%

TOP 10 COUNTRIES

Total may not sum to 100% due to rounding

United States	**88.2%**
Taiwan	**4.7%**
Canada	**3.3%**
China	**1.6%**
Sweden	**1.4%**
Hong Kong	**0.4%**
	99.6%

REGISTRATIONS

Austria	Ireland	Portugal
Denmark	Italy	Spain
France	Luxembourg	Sweden
Finland	Netherlands	Switzerland
Germany	Norway	United Kingdom

MARKET CAPITALISATION

Mega ($100B+)	**58.5%**
Large ($10 - $100B)	**30.4%**
Medium ($2 - $10B)	**9.9%**
Small ($300M - $2B)	**1.1%**
Micro ($50 - $300M)	**0.0%**

RESEARCH TEAM

Our research process is centered on utilising first principles research on disruptive technologies to inform our stock selection. Our collaboration with industry leaders through our open source research ecosystem helps us stay on the cutting edge of innovation. Our research team consists of individuals with deep domain expertise that are dedicated to specific technology areas. Stocks are analysed through these lenses of technology to inform our investment theses. Innovation Research Team: Brett Winton (Chief Futurist), Dr. Charles Roberts (Chief Investment Strategist), and broad collaboration across the research team.

INVESTMENT TEAM

ARK Investment Management LLC is the investment manager for the ARK Artificial Intelligence & Robotics UCITS ETF and is responsible for the day-to-day investment management decisions. The team is highly experienced in all aspects relating to the management of a fund portfolio, including the execution of equities, foreign exchange and cash management. The firm's investment process begins with top-down research to identify truly disruptive innovation and sizing the opportunity. This is followed by meticulous bottom-up research and analysis, leading to the selection of portfolio companies. ARK emphasises sizing the opportunity, stock selection, valuation and risk management, ensuring a dynamic approach to capturing technologically-enabled growth whilst navigating market volatility.

RISK FACTORS

- All financial investments involve an element of risk. Therefore, the value of your investment and the income from it will vary and your initial investment amount cannot be guaranteed.
- The Fund is subject to the risks associated with technology-focused companies including the risk of: (1) failure of new technologies and solutions to replace existing ones or to be approved by governments/regulators or to achieve their aim; (2) the failure of individual companies to successfully capitalise on such new technologies and reach profitability; (3) competition, including rapid developments in competitive technologies (which may leave a company's products out-of-date); (4) government intervention or excessive regulation; and (5) legal attacks from competitors.
- Other risks: (1) The Fund also invests in small publicly traded companies which may be less mature and more vulnerable to adverse business or economic events and greater share price changes than larger companies, the wider technology sector or stock market. (2) The Fund invests in global equity securities and there is a risk of loss arising from exchange rate fluctuations or exchange control regulations. (3) The ICAV's depositary or other service providers may go bankrupt and fail to return money or property belonging to the Fund. (4) It may not always be possible to buy and sell the Fund's shares on a stock exchange or at prices closely reflecting the Fund's Net Asset Value. (5) There is no capital guarantee or protection on the value of the Fund and investors can lose all the capital invested in the Fund.