

Inherited ISA Allowance – transfer from current ISA manager

Application form, to be completed by the surviving spouse or civil partner of an ISA investor.

How to fill in this form:

- Please use black ink and write clearly inside the boxes provided using capital letters.
- Mark your answers with a cross in the appropriate box like this:
- If you make a mistake, please correct it but don't use correction fluid.
- Any applications received that are not completed correctly may incur delays.
- If you have any queries about this form, please call our customer services team on **0800 41 41 16**
- Applications can only be accepted using original forms. Photocopies or faxed copies cannot be accepted.

What's next?

- **Please do not send us a cheque or any investment instructions until we have confirmed that your inherited ISA allowance has been transferred.**

Please send your completed application form to:

Fidelity
PO Box 391
Tadworth KT20 9FU

1 About you

Title

Mr Mrs Ms Other:

Surname

First and other names in full

Gender

Male Female

Account number or Customer Reference Number (only if you are already a Fidelity customer)

Telephone number

Alternate telephone number

Email address

Your address – 'Care of' and PO Box are not acceptable. Only UK addresses are eligible unless you are a Crown Employee.

House number/name

Street, city, county and country

Postcode

Crown employee?

If your address is outside of the UK and you are a Crown Employee or the spouse/civil partner of a Crown Employee, please mark an X in this box.

1 About you (continued)

Date of birth (DDMMYYYY)

Town of Birth

Country of Birth

National Insurance Number

(this can be found on a payslip or a letter from HMRC)

No National Insurance Number?

If you have never been issued with a National Insurance Number please mark an X in the box.

Are you a UK National only? (Please mark an X in the box)

Are you a UK National and National of one or more other countries? (tick box and list all other countries below)

Are you a National of Non-UK countries only? (tick box and list all other countries below)

Nationality 1

Nationality 2

Nationality 3

Nationality 4

From January 2018 in order to invest in exchange traded products (Investment Trusts or Exchange Traded Funds) we are required to capture a national ID for clients for one of their nationalities for reporting purposes. If your client is a British Citizen, this is the National Insurance Number. If your client is dual national or a national of another country then another identifier may be required. Please refer to our guide for capturing nationalities. We are allowing capture of this information online so your clients can invest in existing or new exchange traded products after January 2018.

National Identifier

Employment status

Employed Self-employed Unemployed Full-time education Pensioner

2 About your partner

Please complete ALL information requested in the following section as this is required by HMRC.

Please mark this box to confirm that you were married or in a civil partnership with your late spouse/civil partner and living with them at the date of their death

Title

Mr Mrs Ms Other:

Their surname

First and other names in full

Date of birth (DDMMYYYY)

Date of your marriage or civil partnership

Date of death

Their National Insurance Number

(this can be found on a payslip or a letter from HMRC)

No National Insurance Number?

If they were never issued with a National Insurance Number please mark an X in the box.

Address

House number/name

Street, city, county and country

Postcode

3 About the ISA

Name of current ISA manager

Account number

Current ISA manager's address

Postcode

4 Declaration, authorisation and signature

This section must be completed to confirm the investor named in section 1 of this application form is eligible to transfer an Additional Permitted Subscription (APS) allowance to an ISA in respect of the deceased investor named in section 2 of this application.

I declare that:


- I was married to or in a civil partnership with the deceased on the date of their death and have evidence to support this declaration that can be produced on request.
- I was living with the deceased within the meaning of section 1011 of the Income Tax Act 2007 on the date of their death. (We were not separated under a court order, under a deed of separation, or in circumstances where the marriage or civil partnership had broken down.)
- Any subscription will be made under the provisions of regulation 5DDA of the ISA regulations (additional permitted subscription).
- I am aged 18 years or over.
- I have not subscribed, and will not subscribe, to an ISA using the additional permitted subscription allowance with the ISA provider of the deceased named in section 2 of this application.
- I intend to make an additional permitted subscription application to Fidelity.
- I understand that the information I provide on this application form will be processed in accordance with Fidelity's data protection statement contained in the Fidelity Adviser Solutions Client Terms.

Your signature

I declare that this APS transfer application form has been completed to the best of my knowledge and belief.

Before signing in the box below, please check that you have completed all the relevant sections of the form, following the instructions on the front page.

Your signature



Print name

Date signed

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 (DDMMYYYY)

5 Intermediary details - to be completed by the intermediary

Unique Adviser Number

FCA number

I confirm that I am registered with the FCA to conduct business and my authorisation number is:

Remuneration details

An Adviser Ongoing Fee cannot be applied to this type of investment using an application form. If you would like to setup an Adviser Ongoing Fee this must be done online once the assets have been received.

Verification of identity

- I/We confirm and consent to Fidelity's reliance on the fact that I/we have verified the client in accordance with the UK Money Laundering Regulations and standards set in guidance issued by the JMLSG and will retain the supporting documentation for 5 years after the end of the relationship with the client.

This confirmation must carry an original signature or electronic equivalent.

I/We confirm that I/we have provided the client with the appropriate documentation for their investment:

- The Key Features Document - Doing Business with Fidelity Adviser Solutions.
- The key information document applicable to the client's investment.
- Personal Illustration.
- The Fidelity Adviser Solutions Client Terms.

Intermediary signature



Date signed

 (DDMMYYYY)