



2 Blade Mews, London SW15 2NF.

Telephone: 020 8870 7072 Email: info@seymoursinclair.co.uk Web: www.seymoursinclair.co.uk

The following questions are designed to ensure that you fully understand the structured product you are applying for. Please answer the questions below and return this form with your completed application/s. If you are unsure about the suitability of the product/s or if you are not sure how the product/s work then we recommend you speak to a financial adviser.

Appropriateness Questions

Have you, in the last five years, held any of the following investments?

- Shares Yes / No
Stocks and Shares ISA Yes / No
Unit Trusts/OEICs Yes / No
Tracker Funds Yes / No
Cash ISA Yes / No
Structured Product Yes / No

Do you understand and agree with the following statements?

- 1. I understand that I should keep my money invested in the product for the whole term. Yes / No
2. I understand that if I cancel my plan early I may get back less than originally invested. Yes / No
3. Products may include investments with a number of financial institutions; If any of these were to fail you could lose some or all of your original investment. Yes / No
4. The potential return on this type of product is often dependant on the performance of one or more indices e.g. FTSE 100 Index or S&P 500, and in some cases individual shares on the stockmarket. Yes / No
5. You will not receive any return over and above that advertised on the product literature. Yes / No

By signing this form, I confirm and understand that any business placed through Seymour Sinclair Investments is on an Execution Only basis and that I have not received investment advice from them and agree to their fees.

If you have answered No to any of the 5 statements above, this product may not be right for you and we suggest you seek financial advice, we will return your application form to you.

Fees: Please note that our fees to process Structured Products is 0.40% of the investment amount. This can be paid to us by cheque payable to Seymour Sinclair Investments Ltd, or can be deducted from the investment amount.

Full Name/s:

Customer Signature: