

If you are an existing Reyker client, please enter your **account number** here:

All fields need to be completed.

If you are making this application as an attorney under a Lasting Power of Attorney (LPA), please contact us for assistance at 020 7397 2586 or via clientservices@reyker.com.

Please complete this form in ink and return by post to: Reyker, 17 Moorgate, London, EC2R 6AR

1. Personal declaration

Please read the information below and then tick the box as confirmation. This form collects information about you that we need in order to process your investment, comply with regulations and protect you. You must be 18 or over to invest with us. We want you to be happy at Reyker, so if you are not content, please call us and we will do all we can to help. Thank you for your business and for trusting us.

If you are making an ISA investment, then signing or sending this form constitutes a declaration that you will comply with ISA rules:

- You must be 18 years old or over to invest with us
- You must specify that you are opening a stocks and shares account by making this application
- You are aware that you are investing for the current ISA tax year at the date of application
- You confirm that you will not exceed HMRC ISA limits with this account or any other account in this tax year or in any future tax year
- You will tell us immediately should you cease to be a UK resident.

We will rely on the information you give in this application, which must be provided in full please. You confirm and declare that you are being responsible, truthful and not withholding any information that may be relevant to us in accepting you as a client and that you agree with the contract that binds us in our Terms and Conditions (T&Cs), including compliance with ISA rules, taxation rules and data protection.

I agree. It is mandatory to tick this box. Without it we cannot accept your application.

When we accept your investment, you will be bound contractually through our T&Cs. You can always find them on our website reyker.com/document-centre/home and via your online account with us. Please invest responsibly, get proper independent advice if necessary, and make sure you understand what you are investing in, including the risks and potential rewards. All investments carry risk that values and returns may fall as well as rise. We provide a lot of useful risk information at reyker.com so please read it but feel free to call us as well if you don't understand anything.

Please familiarise yourself with our privacy policy found here reyker.com/regulatory-information/privacy-policy since it contains information on how we collect, process, store and share your data. It also explains why we collect your data and the many rights you have regarding your personal data.

We would like to send you communications regarding our financial services and products, marketing materials, customer surveys and research among other developments within our industry which help us to develop, improve and market our products and services to you. We herein request confirmation that you want to receive these types of communications from us.

Please tick this box to confirm that you are happy to receive this communication from us. You can withdraw your consent at any time by emailing us at clientservices@reyker.com and we will act on your instructions.

Investor 1 Prime investor

Signed _____

Printed name _____

Date _____

Investor 2 Joint investor

Unfortunately, no joint account holding is possible for ISA applications. Each investor must fill in a separate form.

Signed _____

Printed name _____

Date _____

2. Personal details

Investor 1

Prime investor

Title

First name

Middle name(s)

Surname

Date of birth

Place of birth (town/city)

Country of birth

Permanent address

County Postcode

Country

Phone

Email¹

National insurance number:

Tick here if you do not have a national insurance number

Investor 2

No joint account holding is possible for ISA applications.

Title

First name

Middle name(s)

Surname

Date of birth

Place of birth (town/city)

Country of birth

Permanent address

County Postcode

Country

Phone

Prime investor email only

National insurance number:

Tick here if you do not have a national insurance number

¹ Without a valid email address we can't provide you with free statements through our online portal. Our half-yearly statements would be sent to you by post at a charge which may change throughout the lifetime of your investment. Our recommended standard tariff of fees and charges can be found on our website.

2. Personal details (continued)

Investor 1

Prime investor

Principal nationality

National ID*

Other nationalities

National ID*

**Only fill this in if you are not a British citizen
(Please see our website, document centre - Important information, official journal of the European Union.)*

Are you resident in the UK for tax purposes? Yes No

If you are resident in another country than the UK for tax purposes, please provide all jurisdiction (s) of residence(s) for tax purposes and tax identification number (TIN):

Country

TIN

Investor 2

No joint account holding is possible for ISA applications.

Principal nationality

National ID*

Other nationalities

National ID*

**Only fill this in if you are not a British citizen
(Please see our website, document centre - Important information, official journal of the European Union.)*

Are you resident in the UK for tax purposes? Yes No

If you are resident in another country than the UK for tax purposes, please provide all jurisdiction(s) of residence(s) for tax purposes and tax identification number (TIN):

Country

TIN

3. Your bank details

Account holder name

Account number

Sort code

4. Your investment details

Please provide details of the plan you are looking to invest into (this can be found on the front cover of the product brochure)

Plan number

Plan name

	New investment min. £3,000		Re-investment min. £3,000
Direct investment	£	Existing direct investment with Reyker (please advise your account number with us)	£
Stocks & shares 2019/2020 ISA (max. £20,000)	£		a/c
Transfer in from existing ISA Manager, other than Reyker*	£	Existing ISA held by Reyker (please advise your account number with us)	£
			a/c
		Total sent to Reyker	£

**Please fill in additionally our transfer authority form*

5. Your initial subscription (only complete if you are making a new cash subscription)

Subscription amount	£		Subscription monies should be sent to the following client monies bank account:
Bank transfer	£		Account name Reyker Securities plc client a/c
Bank payments should be made with your name as reference			Account number 40501833
Cheque*	£		Sort code 60 00 01
			Reference Please insert your name

*Please make cheques payable to 'Reyker Securities plc Client A/C re Reyker Financial Products'. Cheques require 8 clear working days to be treated as cleared. Bank transfers are faster and safer.

6. Your investment advice and management

Have you received a personal recommendation from an adviser to invest in this product? Yes No

If yes, please ask your adviser to complete the relevant part of this application form.

If no, we will be in contact to discuss your investment options further as we prefer all of our clients to receive advice before investing.

We will be in touch with you shortly. In the meantime if you have any further questions please contact our client services team on **020 7397 2586** or email us at clientservices@reyker.com.

7. Financial adviser fees and declaration (only fill in if applicable)

This part of the form is for your Financial advisor to fill in.

Financial adviser charging

We will facilitate payment to your financial adviser of this charge.

Investment amount	£	
Financial adviser charge	£	
Total sent to Reyker	£	

Bank details

Account name	Sort code
Account number	Bank or Building Society name

7. Financial adviser fees and declaration (continued)

Financial adviser declaration

Are you directly authorised? Yes No

Are you an authorised representative? Yes No

Please state the name of your network

Full name

Email

Phone

Company

FCA number

Contact number

Address

Street address

Town _____ City _____

County _____ Postcode _____

By signing this application, I declare that:

Where I have provided the applicant with investment advice in relation to Reyker Structured Products, I confirm that I have assessed the suitability of this product in relation to the applicant's financial situation including their ability to bear losses, knowledge and experience, investment objectives including tolerance risk and any other requirements under the FCA COBS 9 rules.

I agree to the Intermediary Terms of Business (as made available in the Document Centre of Reyker's website) and have carried out all necessary Customer Due Diligence and suitability checks on the applicant.

Where I have completed the declaration, I have agreed with the investor that Reyker can facilitate the payment to me of the adviser charge set out above.

I agree that Reyker will not be liable to me in respect of any adviser charges owed to me by the investor including, without limitation, where the investor asks Reyker not to pay an adviser charge to me.

Adviser signature

Adviser printed name

Date
