ISA Application Form

2019/2020



Please complete this application form in **BLOCK CAPITALS** and return to: Marlborough Fund Managers Ltd, Marlborough House, 59 Chorley New Road, Bolton BL1 4QP or to your financial adviser.

SECTION A - PERSONAL DETAILS - please complete this sectio	n in full as this is mandatory
Title Surname	Forename(s)
Permanent residential address	
	Postcode
Nationality	Date of birth
National insurance number X X X X X X X X X X X X	If you do not have a NI number please tick this box
Telephone number	Email address
Existing holder ID / account number (if known)	
How would you like to receive documentation related to your inves	tments? Post Email
I apply to subscribe to a Stocks & Shares ISA for the tax year further notice. (Please be aware that this statement, recommended by H a new application form. It does not oblige you to invest with Marlborough Fo	MRC, enables you to invest in future tax years without the need for
SECTION B - FINANCIAL ADVISER'S DETAILS - to be completed	by your financial adviser if applicable
Adviser's name/firm	Adviser's firm stamp
Adviser's email address	
FCA/FRN number	
Agency code (if known)	
Please tick all which apply	
	d business
Execution only Portfolio management services	
SECTION C - INCOME INSTRUCTION & BANK DETAILS - please to When providing bank details please ensure these are accurate as we cannot acceptails which have been provided here.	, , , , , , , , , , , , , , , , , , ,
REINVEST INCOME (accumulation shares will be used where available)	PAY INCOME TO MY BANK ACCOUNT (see below)
Cheques cannot be sent for dividend payments, if bank details	are not provided income will be reinvested.
Name of bank/building society	
Name of account holder	
Bank account number	Sort code X X - X X - X X
Roll number / building society reference	

Marlborough Fund Managers Ltd is authorised and regulated by the Financial Conduct Authority and is a member of The Investment Association.

ISA APPLICATION FORM PAGE 1 OF 3

SECTION D - INVESTMENT DETAILS - please provide details of your investment choices. This section is mandatory

Fund name	SEDOL/ISIN of Fund	Lump Sum Investment	Monthly Amount
1.			
2.			
3.			
۷.			
5.			
Investment limit amount for the tax year 201	9/2020 £20,000.00 TOTAL		

Lump Sum Investment: Please pay via cheque addressed to Marlborough Fund Managers Ltd.

Monthly: Please ensure that section E is fully completed to allow us to process your application. Maximum investment amount in an ISA is £1666.66 per month.

SECTION E - MANDATE DETAILS -		

To: The Manager	Bank/building society	Service U			_			•	-		L1 4QP				
Address		Service U	Jser No	: 9	4	2	9 3	9				D	IR	EC	T
		Reference	e : (to be	e com	nplete	ed by	Marlb	oroug	gh)			D	e	b i	it
P	ostcode			1											
Name(s) of Account Holder(s)		Instruction Manager to the sa this instru	s Direct feguard	: Debi ds ass	its fro ured	m the	e acco e Direc	unt d t Dek	, letaile oit Gu	ed oi uarai	n this in ntee. I u	struc nder	tion :	subj d tha	ject
Bank/Building Society Account Number	x x x x x x	details w	ll be po	,					_		•			,	
Branch Sort Code X X X	- X X - X X	Signature						Da	te		M	м ү	Υ	Υ	Υ

Please be aware that any bank details provided in this section will override any existing bank details that we may hold for you, unless otherwise stated.

We will write to you to confirm the date on which your Direct Debit will be collected.

SECTION F - PRIVACY NOTICE

We're committed to processing the personal data that you provide to us in line with the latest data protection and data privacy legislation in force within the United Kingdom. We'll use your information in order to provide the service detailed in this Application Form and to administer your investments, communicate with you and provide information on our products and services when you request it, to meet our legal obligations and for the purposes of fraud prevention.

Our full Privacy Notice

It's important that you understand how the personal information you give us will be used. We strongly advise that you read our full Privacy Notice, which you can find on our website at www.Marlboroughfunds.com, or you can ask us for a copy.

COMMUNICATING WITH YOU INCLUDING DIRECT MARKETING

We'd like to let you know about Marlborough investment products and services we think would be of interest to you. However, we'll only contact you if you consent by ticking the boxes below.

Your marketing preferences

I'm happy for Marlborough	Fund Managers	Ltd to contact r	me in the following	ways about	investment products,	services and
promotional offers that ma	y be of interest.					

Please tick here:	By post □	By phone □	By email □
Piedse lick liele.	by post \square	by priorie \square	by eman \square

You can withdraw your consent at any time by letting us know by post, phone or email. We'll continue to use your contact details for sending regular statements, changes to terms and conditions, account enquiries, and as required by law.

ISA APPLICATION FORM PAGE 2 OF 3

SECTION G - DECLARATION - please ensure that you read and sign this section as this is mandatory

- I declare that I have read and understood the relevant Key Investor Information Document (KIID), the Supplementary Information Document (SID) and the Marlborough Fund Managers Ltd Terms & Conditions and agree to be bound to these. I understand that these documents form part of the terms and conditions of my investment in the fund(s) and are available at www.marlboroughfunds.com
- To the best of my knowledge and belief, all statements made in this application form are true and correct and I shall inform the plan manager immediately of any changes herein
- I declare that I am 18 years of age or over. I am resident in the United Kingdom (UK) for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas) are treated as being performed in the UK, or I am married to or in a civil partnership with, a person who performs such duties. I will inform Marlborough Fund Managers Ltd if I cease to be so resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties
- I confirm that I am not a US Person (either a resident or citizen) and acknowledge that US Persons cannot hold shares/units in the fund(s)
- I apply to subscribe for a Stocks & Shares ISA for the tax year and amount, stated overleaf and each subsequent year
- I have not subscribed and will not subscribe to another Stocks & Shares ISA in the same tax year that I subscribe to this Stocks & Shares ISA
- I have not subscribed and will not subscribe more than the overall subscription limit in total to a cash ISA, a stocks & shares ISA, an innovative finance ISA and a Lifetime ISA in the same tax year
- All subscriptions made and to be made belong to me
- I hereby authorise Marlborough Fund Managers:
 - (i) to hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash
 - (ii) to make on my behalf any claims or relief from tax in respect of ISA investments
- I have read the Marlborough Fund Managers Privacy Notice concerning the use of my personal data

Client Signature





SECTION H - THE DIRECT DEBIT GUARANTEE - please retain for your own records

Banks and Building Societies may not accept Direct Debit Instructions for some types of account. This guarantee should be detached and retained by the payer.

- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits
- If there are any changes to the amount, date or frequency of your Direct Debit Marlborough Fund Managers Ltd will notify you 3 working days in advance of your account being debited or as otherwise agreed. If you request Marlborough Fund Managers Ltd to collect a payment, confirmation of the amount and date will be given to you at the time of the request
- If an error is made in the payment of your Direct Debit, by Marlborough Fund Managers Ltd or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society



- If you receive a refund you are not entitled to, you must pay it back when Marlborough Fund Managers Ltd asks you to
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.

ISA APPLICATION FORM PAGE 3 OF 3