

LIONTRUST UNIT TRUST APPLICATION FORM

Please complete this application form and send it with your cheque, made payable to Liontrust Fund Partners LLP to: **Liontrust Customer Services Team, PO Box 11061, Chelmsford CM99 2YA**

Alternatively, please settle by bank transfer to: **NatWest Bk City of London Office**
Sort code: 60-00-01 Account number: 40508536

Account name: Liontrust Fund Partners LLP Dealing Account

IBAN: GB71NWBK60000140508536

BIC/SWIFT: NWBKGB2L

If you have any queries please contact our Customer Services Team:

Telephone: **0330 123 3822** (overseas +44 (0)1268 448660)

Facsimile: **0330 123 3720** (overseas +44 (0)1268 448444)

E-mail: **admin@liontrust.co.uk** Website: **www.liontrust.co.uk**

1 REGISTRATION DETAILS

First named or sole holder: TITLE (MR/MRS/MISS/OTHER) SURNAME FORENAME(S) (IN FULL) (*OR FULL TITLE OF CORPORATION)

FULL PERMANENT ADDRESS (C/O ADDRESSES ARE NOT PERMITTED)

TOWN/CITY

POSTCODE

TELEPHONE NUMBER

E-MAIL ADDRESS

DATE OF BIRTH

DD MM YY

NATIONAL INSURANCE NUMBER (NINO)

If you hold or have ever held a political function, or are related to anyone holding any political function please tick the box :

Second named holder: TITLE (MR/MRS/MISS/OTHER) SURNAME FORENAME(S) (IN FULL)

FULL PERMANENT ADDRESS (C/O ADDRESSES ARE NOT PERMITTED)

TOWN/CITY

POSTCODE

TELEPHONE NUMBER

E-MAIL ADDRESS

DATE OF BIRTH

DD MM YY

NATIONAL INSURANCE NUMBER (NINO)

If you hold or have ever held a political function, or are related to anyone holding any political function please tick the box :

Details of any additional shareholder's (up to 4 in total) should be included on a separate piece of paper)

ACCOUNT DESIGNATION

TRUST NAME (IF APPLICABLE)

(Please note the trust address will be registered as the same first named client on the application)

Please complete a separate FATCA Entity self-Certification. Please visit: www.liontrust.co.uk/FATCA_Self_Certform_-_entities.pdf

2 INVESTMENT DETAILS

Liontrust Retail Class Unit Trusts

Minimum lump sum £1,000 - Minimum monthly saving £50

Please invest the following sums into the Fund(s) indicated below (tick one box only): Lump sum Regular savings

Liontrust Asia Income Fund (R)

(GB00B7GXM507)

£

Liontrust European Enhanced Income Fund (R)

(GB00BD2WZ659) Acc

£

(GB00BD2WZ543) Inc

£

Liontrust European Growth Fund (R)

(GB00B1GKBD09) Inc

£

Liontrust European Income Fund (R)

(GB00BD2WZ212) Acc

£

(GB00BD2WZ105) Inc

£

Liontrust FTSE 100 Tracker (R)

(GB0007420895) Inc

£

Liontrust Global Income Fund (R)

(GB0007010795) Inc

£

2 INVESTMENT DETAILS (CONTINUED)

Liontrust Macro Equity Income Fund (R)
(GB0033726984) Acc

£

(GB0033726877) Inc

£

Liontrust Macro UK Growth Fund (R)
(GB0031896052) Acc

£

(GB0004932355) Inc

£

Liontrust Special Situations Fund (R)
(GB00BON6YF70) Inc

£

Liontrust UK Growth Fund (R)
(GB0007014557) Inc

£

Liontrust UK Micro Cap Fund (I)
(GB00BDFYHP14) Acc

£

Liontrust UK Smaller Companies Fund (R)
(GB0007420788) Inc

£

Regular Savers, please enclose your first monthly subscription by cheque drawn on an account in your own name, or a joint account which includes your name.

3 BENEFICIAL OWNERS

Anti-money laundering regulations require that we must verify the identities of all our investors. In the case of corporate bodies, trusts and other legal entities, it is also a requirement that we establish the identity of any trustees or controllers that are not named on the application form or account, who have greater than a 25% control of the voting rights of the corporate body* or property of the trust, or are entitled to more than 25% of the company's profits. In addition, it is also a requirement that we establish the identity of any individuals who have a specified beneficial interest in the units. The applicant retains legal title to the units and instructions will only be accepted from the applicant. The beneficial owner information is required for money laundering purposes only. Directors of a company do not need to be listed.

A Beneficial Owner is normally an individual who ultimately owns or controls the applicant or on whose behalf the investment is being conducted.

Private applicants only (Non-private applicants please see below)

Please complete this section. You will not be able to redeem your holding unless this section is completed or until you have confirmed your beneficial ownership structure.

If you are the applicant and the Beneficial Owner(s) of this investment please tick the box. Otherwise please complete Beneficial Owner details below.

Beneficial Owner 1

NAME TITLE (MR/MRS/MISS/OTHER)

PERMANENT RESIDENTIAL ADDRESS

POSTCODE DATE OF BIRTH

DD MM YY

Beneficial Owner 2

NAME TITLE (MR/MRS/MISS/OTHER)

PERMANENT RESIDENTIAL ADDRESS

POSTCODE DATE OF BIRTH

DD MM YY

Beneficial Owner 3

NAME TITLE (MR/MRS/MISS/OTHER)

PERMANENT RESIDENTIAL ADDRESS

POSTCODE DATE OF BIRTH

DD MM YY

Beneficial Owner 4

NAME TITLE (MR/MRS/MISS/OTHER)

PERMANENT RESIDENTIAL ADDRESS

POSTCODE DATE OF BIRTH

DD MM YY

If you have a corporate body, partnership or trust, please read and complete if required, the Liontrust Beneficial Owner Supplementary Information Form and attach it to this application.

4 INCOME

Where a Liontrust fund issues income units, you can elect to have any income from your investment either paid out or re-invested to buy more units at no initial charge. If you wish to have income paid out please complete your bank details below. If no election is made, income will be automatically re-invested to purchase additional units in the income class held.

Some Liontrust funds issue accumulation units and any income is automatically accumulated and is reflected in the price of the fund.

BANK OR BUILDING SOCIETY

BRANCH ADDRESS

POSTCODE

SORT CODE

ACCOUNT NUMBER

ACCOUNT NAME

5 PRIVACY AND DATA PROTECTION

We are committed to maintaining the personal data that you provide to us in accordance with the requirements of data protection/data privacy legislation. We will treat your information as private and confidential and your details, including dealings with us, will not be disclosed to anyone except where we or any third party acting on our behalf are legally compelled or permitted to do so or otherwise as agreed with you.

The information you provide on your application form (or afterwards) will be held and processed by us as data controller for the purposes of the Data Protection Act 2018. We may hold and process your information for the administration of the service(s) for which you are currently applying or may apply for in the future, for the operation of your investment (including, for example, for registration and distribution purposes), for the purposes of preventing fraud and money laundering, carrying out statistical analysis, and the marketing of goods and services by us or other companies in the Liontrust group. Your personal information may be passed to and used by companies within the Liontrust group and third parties including credit referencing, tax, regulatory and law enforcement agencies and our agents and sub-contractors who administer or process the information on our behalf. This may include the transfer of your information overseas to countries

where different levels of data protection apply. This would only occur as a necessary and proportionate measure, carried out for the purposes of our statutory obligations.

Please contact us for an up to date list of the current members of the Liontrust group or if you wish to receive marketing material. Where an authorised financial adviser acts on your behalf, we may disclose information concerning your investment to that financial adviser. Other than as noted above, we will not provide any other third party with any information about you unless you have given your consent or unless we are required to do so by law. We will never sell, rent or trade your personal information to a company outside those described above without your permission.

You have the right to access the information we hold about you at any time to check whether it is accurate and up-to-date. Please contact the person responsible for data protection at Liontrust for these purposes, at dataprotection@Liontrust.co.uk

For further information on what information Liontrust holds, why we hold it and what we do with it, please refer to our Privacy Notice at www.liontrust.co.uk

6 ANTI-MONEY LAUNDERING AND IDENTITY VERIFICATION

Liontrust is required to make various checks on transactions to comply with requirements of the Proceeds of Crime Act 2002 and statutory regulations in relation to Money Laundering. These checks involve the need to obtain independent documentary evidence of the identity and permanent address of the person applying to open an Account and may be completed by verifying the details you supply against those held on any databases to

which Experian, the Credit Reference Agency, has access. Liontrust reserves the right to refuse any application to subscribe to an ISA without giving a reason for so doing.

Liontrust Fund Partners LLP, registered in England No. OC351697. Registered office: 2 Savoy Court, London WC2R 0EZ. Authorised and regulated by the Financial Conduct Authority (No 518165).

7 INDICATE REDEMPTION PAYMENT INSTRUCTIONS

We are able to hold information on our system to enable us to pay the proceeds of a future redemption to a unit holder's bank account without charge, but we need to verify ownership of the account first. To enable us to do this please forward an original, or a certified copy of the unit holder's bank statement, no more than 3 months old, showing the account details; or a paying in slip; or a cancelled cheque or a letter from the unit holder's bank confirming the account details.

Please note that all payments will be made in the name of the registered unitholder only, no third party payment will be effected. Any omission to complete these details for redemption requests could result in late or non-payment of redemption proceeds, or we will be forced to settle by cheque.

BANK OR BUILDING SOCIETY

BRANCH ADDRESS

POSTCODE

SORT CODE

ACCOUNT NUMBER

ACCOUNT NAME

8 EXECUTION-ONLY INTERMEDIARY DETAILS (IF APPLICABLE)

Only to be completed by an Execution-only intermediary connected with this application form. The intermediary is entitled to receive commission from the monies to be invested in respect of this application form, subject to a maximum of 3% of the amount invested (depending upon the Fund(s) selected).

Please pay the agreed commission of %. If no commission is specified, the intermediary's agreed default terms will apply, or alternatively the maximum 3% will apply.

Intermediary's details

FCA number

Please tick this box if cancellation rights apply (Financial Services (Cancellation) Rules 1989).

9 INDIVIDUAL SELF-CERTIFICATION

Tax Regulations require us to collect information about each investor's tax residency. In certain circumstances (including if we do not receive a valid self-certification from you) we may be obliged to share information on your account with HMRC. If you have any questions about your tax residency, please contact your tax advisor. Should any information provided change in the future, please ensure you advise us of the changes promptly.

Tax residency

Please indicate all countries in which you are resident for tax purposes and the associated Tax Reference Numbers in the table below. If you are a US citizen or resident, please include United States in this table along with your US Tax Identification Number.

COUNTRY/COUNTRIES	TAX REFERENCE NUMBER	NATIONALITY
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

If you are not resident in any country for tax purposes, please tick this box.

In order to comply with Anti Money Laundering laws we are obliged to perform risk rating of our client. In order to assist us with this

process we are asking you to self-certify which of the below categories you are investing under.

<input type="checkbox"/> Person	<input type="checkbox"/> Unincorporated Regist. Charity	<input type="checkbox"/> Public Limited Company	<input type="checkbox"/> Partnership / Unincorporated
<input type="checkbox"/> Regulated Occ. Pension	<input type="checkbox"/> Unregistered Charity	<input type="checkbox"/> Private Corporate	<input type="checkbox"/> Lawyer/Accountant/Professional
<input type="checkbox"/> Regulated Pers. Pension	<input type="checkbox"/> Trust	<input type="checkbox"/> Independent School/University	<input type="checkbox"/> Club or Society
<input type="checkbox"/> Local Authority Pension Scheme	<input type="checkbox"/> UK and EEA Regulated Firm	<input type="checkbox"/> Public Sector/Local Authority	<input type="checkbox"/> Sovereign Wealth Fund
<input type="checkbox"/> Unregulated UK & Irish Pension	<input type="checkbox"/> Nominee - Regulated Parent	<input type="checkbox"/> UK Church or Place of Worship	<input type="checkbox"/> Parochial Church Council
<input type="checkbox"/> Incorporated Regist. Charity	<input type="checkbox"/> Nominee - Unregulated Parent	<input type="checkbox"/> Subject to Statutory Licensing	

10 DECLARATION

All beneficial owners and other associated parties are known to me/us and I/we undertake to make those named above aware that they may be subjected to the same data protection and money laundering verification provisions as outlined in the terms and conditions.

I/we apply to invest in units of the fund(s) according to the Prospectus.

I/we confirm agreement to all Client Money obligations and requirements as detailed in the Liontrust Prospectus.

I/we declare that I/we have read the Key Investor Information Document (KIID) relating to the fund(s), and that I/we are signing, only after having all questions I/we had beforehand, fully explained to me/us.

All investors MUST sign and date below:

SIGNATURE OF FIRST NAMED OR SOLE INVESTOR

DATE

<input type="text"/>	<input type="text"/>	<input type="text"/>
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SIGNATURE OF THIRD NAMED OR SOLE INVESTOR

DATE

<input type="text"/>	<input type="text"/>	<input type="text"/>
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SIGNATURE OF SECOND NAMED OR SOLE INVESTOR

DATE

<input type="text"/>	<input type="text"/>	<input type="text"/>
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SIGNATURE OF FOURTH NAMED OR SOLE INVESTOR

DATE

<input type="text"/>	<input type="text"/>	<input type="text"/>
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Trust applications: If you are investing on behalf of a Trust you should apply in the name of up to four named individual trustees and designate the account accordingly. Do not apply in the name of the Trust.

Corporate applications: If you are investing on behalf of a company, please be aware that we shall require two authorised signatures and their capacities a company seal (or confirmation that the company can sign with fewer authorised signatories and/or the company does not need to sign under a company seal) to proceed with your application.

Instruction to your bank or building society to pay by Direct Debit

Please fill in the whole form including official use box using a ball point pen and send it to:

Liontrust Customer Services Team
 PO Box 11061
Chelmsford CM99 2YA

Service user number

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FOR LIONTRUST FUND PARTNERS LLP OFFICIAL USE ONLY
 This is not part of the instruction to your bank or building society.

Name(s) of account holder(s)

Bank/building society account number

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Branch sort code

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Name and full postal address of your bank or building society

To: The Manager	Bank/building society
Address	
Postcode	

Instruction to your bank or building society

Please pay Liontrust Fund Partners LLP Direct Debits from the account detailed in this Instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this Instruction may remain with Liontrust Fund Partners LLP and, if so, details will be passed electronically to my bank/building society.

Signature(s)
Date

Reference

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Banks and building societies may not accept Direct Debit Instructions for some types of account

DD11

This guarantee should be detached and retained by the payer.

The Direct Debit Guarantee



- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits
- If there are any changes to the amount, date or frequency of your Direct Debit Liontrust Fund Partners LLP will notify you ten working days in advance of your account being debited or as otherwise agreed. If you request Liontrust Fund Partners LLP to collect a payment, confirmation of the amount and date will be given to you at the time of the request.
- If an error is made in the payment of your Direct Debit, by Liontrust Fund Partners LLP or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society
 - If you receive a refund you are not entitled to, you must pay it back when Liontrust Fund Partners LLP asks you to
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.