

LIONTRUST STOCKS AND SHARES ISA APPLICATION FORM (2018/19 & 2019/20)

For the Tax Year 2018/19 and 2019/20

Please complete this application form and send it with your cheque, made payable to **Liontrust Fund Partners LLP** to:
Liontrust Customer Services Team,
PO Box 11061, Chelmsford CM99 2YA

If you have any queries please contact our Customer Services Team:
 Telephone: **0330 123 3822** (overseas +44 (0)1268 448660)
 Facsimile: **0330 123 3720** (overseas +44 (0)1268 448444)
 E-mail: **admin@liontrust.co.uk** Website: **www.liontrust.co.uk**

If you have an existing Liontrust account, please enter the account number here:

1 REGISTRATION DETAILS

TITLE (MR/MRS/MISS/OTHER)		SURNAME		FORENAME(S) (IN FULL)	
<input type="text"/>		<input type="text"/>		<input type="text"/>	
PERMANENT RESIDENTIAL ADDRESS (C/O ADDRESSES ARE NOT PERMITTED)					
<input type="text"/>					
TOWN/CITY			POSTCODE		
<input type="text"/>			<input type="text"/>		
TELEPHONE NUMBER			E-MAIL ADDRESS		
<input type="text"/>			<input type="text"/>		
DATE OF BIRTH		NATIONAL INSURANCE NUMBER (NINO)			
DD	MM	YY	<input type="text"/>		
					If you do not have a NINO please tick this box: <input type="checkbox"/>

NOTE: • An ISA may only be taken out in an individual's name. It cannot be held in joint names. • You must supply your National Insurance Number and date of birth.

2 INVESTMENT DETAILS

Liontrust Stocks and Shares ISA Allowances	Tax Year 2018/19 (Tax year ending 5th April 2019)	Tax Year 2019/20 (Tax year ending 5th April 2020)
Minimum lump sum	£1,000	£1,000
Maximum lump sum	£20,000	£20,000
Minimum monthly saving	£50	£50
Please invest the following sums into the Fund(s) I have indicated below:	Lump sum <input type="checkbox"/> Regular savings <input type="checkbox"/>	Lump sum <input type="checkbox"/> Regular savings <input type="checkbox"/>
Liontrust Asia Income Fund (R) (GB00B7GXM507)	£ <input type="text"/>	£ <input type="text"/>
Liontrust European Enhanced Income Fund (R) (GB00BD2WZ659) ACC	£ <input type="text"/>	£ <input type="text"/>
(GB00BD2WZ543) INC	£ <input type="text"/>	£ <input type="text"/>
Liontrust European Growth Fund (R) (GB00B1GKBD09)	£ <input type="text"/>	£ <input type="text"/>
Liontrust European Income Fund (R) (GB00BD2WZ212) ACC	£ <input type="text"/>	£ <input type="text"/>
(GB00BD2WZ105) INC	£ <input type="text"/>	£ <input type="text"/>
Liontrust Global Income Fund (R) (GB0007010795)	£ <input type="text"/>	£ <input type="text"/>
Liontrust Macro Equity Income Fund (R) (GB0033726984) ACC	£ <input type="text"/>	£ <input type="text"/>
(GB0033726877) INC	£ <input type="text"/>	£ <input type="text"/>
Liontrust Macro UK Growth Fund (R) (GB0031896052) ACC	£ <input type="text"/>	£ <input type="text"/>
(GB0004932355) INC	£ <input type="text"/>	£ <input type="text"/>
Liontrust Special Situations Fund (R) (GB00B0N6YF70)	£ <input type="text"/>	£ <input type="text"/>
Liontrust UK Growth Fund (R) (GB0007014557)	£ <input type="text"/>	£ <input type="text"/>
Liontrust UK Micro Cap Fund (I) (GB00BDFYHP14) ACC	£ <input type="text"/>	£ <input type="text"/>
Liontrust UK Smaller Companies Fund (R) (GB0007420788)	£ <input type="text"/>	£ <input type="text"/>

NOTE: • Investors should send in different cheques for each tax year in which they are investing. • Cheques should be made payable to Liontrust Fund Partners LLP.
 • Cheques for the next tax year (starting 6th April 2019) should be dated to 6th April 2019.

If you wish to save regularly into your ISA you must complete the Direct Debit Mandate. Direct Debits are collected from your bank or building society account on 15th of each month (or next business day). Regular Savers, please enclose your first monthly subscription by cheque drawn on an account in your own name, or a joint account which includes your name.

3 INCOME

All the Funds issue income units, with the exception of Liontrust UK Micro Cap fund, which only offers accumulation units. You can elect to have any income from your investment either paid out to you or reinvested. If you wish to have income paid out, please supply your bank details. If no election is made

(by completing the details below), income will automatically be reinvested to purchase additional units in the income classes, or else invested into the relevant funds accumulation class of units. Liontrust does not pay distributions by cheque.

Investors wishing to receive income must complete this section. If you wish to have all income paid out to you please supply your banking details. Payment is made by direct credit.

BANK OR BUILDING SOCIETY

BRANCH ADDRESS

POSTCODE

SORT CODE

ACCOUNT NUMBER

ACCOUNT NAME

4 DECLARATION

Declaration and Authorisation

- a I apply to subscribe to the Liontrust ISA under the ISA regulations for the tax year ended 5th April 2019 and in the case of monthly subscriptions every tax year until further notice. The first tax year in which this application form relates is the tax year I have specified in my investment selection in Section 2. My subscriptions to this ISA comprise my own money.
- b I declare that I am aged 18 or over and that I am resident in the United Kingdom for tax purposes or if not so resident, perform duties which, by virtue of Section 132(4)(a) of the Income & Corporation Taxes Act 1988 (Crown employees serving overseas), are treated as performed in the United Kingdom or am the spouse or civil partner of such a Crown employee, and I will inform Liontrust Fund Partners LLP if I cease to be so resident or to perform such duties.
- c I have not and will not subscribe to any others Stocks & Shares ISA, Cash ISA (or Innovative Finance ISA) during 2018/2019 and/or 2019/2020.
- d I hereby authorise Liontrust Fund Partners LLP to:
 - i hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash;
 - ii on my written request to transfer or pay to me, as the case may be, ISA investments, interest, dividends, rights or other proceeds in respect of such investments or any other cash; and
 - iii obtain independent verification of the details I have supplied in accordance with the Financial Conduct Authority's Money Laundering Sourcebook and the Data Protection Act 1998.
- e I confirm agreement to all Client Money obligations and requirements as detailed in the Liontrust Prospectus.
- f I agree to be bound by the Terms and Conditions from time to time in force and give the warranties contained therein. A copy of the current Terms and Conditions has been made available to me. (For your own benefit and protection, you should read these terms carefully before signing them. If you do not understand any point, please ask for further information prior to investing.)
- g I declare that the information provided by me in this application form is to the best of my knowledge and belief complete and correct and I confirm that any changes in the information will be notified in writing to Liontrust Fund Partners LLP without delay.
- h I agree that the contract between me and Liontrust Fund Partners LLP shall be constituted by this completed application form, the Key Investor Information Document (KIID) and the Terms and Conditions from time to time in force.

The Fund's Key Investor Information Document (KIID) and the Liontrust ISA Terms and Conditions are available free of charge from Liontrust on request, or from www.liontrust.co.uk.

5 OTHER IMPORTANT INFORMATION

It is important to remember that the price of units, and the income from them, can fall as well as rise and are not guaranteed and that investors may not get back the amount originally invested. Past performance is not a guide to future performance. The issue of units in the Funds within the Liontrust ISA may be subject to an initial charge, which is likely to have an impact on the realisable value of the investment, particularly in the short term.

You should always regard stock market investment as long-term. The levels and bases of, and reliefs from, taxation can change under future legislation and the value of such relief depends upon the investor. Any statement on taxation in any documentation pertaining to this ISA is based on our understanding of current legislation and tax practice at the time of issue.

6 EXECUTION-ONLY INTERMEDIARY DETAILS (IF APPLICABLE)

Only to be completed by an Execution-only intermediary connected with this application form. The intermediary is entitled to receive commission from the monies to be invested in respect of this application form, subject to a maximum of 3% of the amount invested (depending upon the Fund(s) selected).

Please pay the agreed commission of %. If no commission is specified, the intermediary's agreed default terms will apply, or alternatively the maximum 3% will apply.

Intermediary's details

FCA number

Please tick this box if cancellation rights apply (Financial Services (Cancellation) Rules 1989). ☐

7 PRIVACY AND DATA PROTECTION

We are committed to maintaining the personal data that you provide to us in accordance with the requirements of data protection/data privacy legislation. We will treat your information as private and confidential and your details, including dealings with us, will not be disclosed to anyone except where we or any third party acting on our behalf are legally compelled or permitted to do so or otherwise as agreed with you.

The information you provide on your application form (or afterwards) will be held and processed by us as data controller for the purposes of the Data Protection Act 2018. We may hold and process your information for the administration of the service(s) for which you are currently applying or may apply for in the future, for the operation of your investment (including, for example, for registration and distribution purposes), for the purposes of preventing fraud and money laundering, carrying out statistical analysis, and the marketing of goods and services by us or other companies in the Liontrust group. Your personal information may be passed to and used by companies within the Liontrust group and third parties including credit referencing, tax, regulatory and law enforcement agencies and our agents and sub-contractors who administer or process the information on our behalf. This may include the transfer of your information overseas to countries

where different levels of data protection apply. This would only occur as a necessary and proportionate measure, carried out for the purposes of our statutory obligations.

Please contact us for an up to date list of the current members of the Liontrust group or if you wish to receive marketing material. Where an authorised financial adviser acts on your behalf, we may disclose information concerning your investment to that financial adviser. Other than as noted above, we will not provide any other third party with any information about you unless you have given your consent or unless we are required to do so by law. We will never sell, rent or trade your personal information to a company outside those described above without your permission.

You have the right to access the information we hold about you at any time to check whether it is accurate and up-to-date. Please contact the person responsible for data protection at Liontrust for these purposes, at dataprotection@Liontrust.co.uk

For further information on what information Liontrust holds, why we hold it and what we do with it, please refer to our Privacy Notice at liontrust.co.uk.

8 ANTI-MONEY LAUNDERING AND IDENTITY VERIFICATION

Liontrust is required to make various checks on transactions to comply with requirements of the Proceeds of Crime Act 2002 and statutory regulations in relation to Money Laundering. These checks involve the need to obtain independent documentary evidence of the identity and permanent address of the person applying to open an Account and may be completed by verifying the details you supply against those held on any databases to

which Experian, the Credit Reference Agency, has access. Liontrust reserves the right to refuse any application to subscribe to an ISA without giving a reason for so doing.

Liontrust Fund Partners LLP, registered in England No. OC351697. Registered office: 2 Savoy Court, London WC2R 0EZ. Authorised and regulated by the Financial Conduct Authority (No. 518165).

9 INDICATE REDEMPTION PAYMENT INSTRUCTIONS

We are able to hold information on our system to enable us to pay the proceeds of a future redemption to a unit holder's bank account without charge, but we need to verify ownership of the account first. To enable us to do this please forward an original, or a certified copy of the unit holder's bank statement, no more than 3 months old, showing the account details; or a paying in slip; or a cancelled cheque or a letter from the unit holder's bank confirming the account details.

BANK OR BUILDING SOCIETY

SORT CODE

ACCOUNT NAME

ACCOUNT NUMBER

I declare that I have read the Key Investor Information Document (KIID) relating to the Fund(s) indicated above. I apply to invest in units of the Fund(s) indicated above according to the terms of the KIID.

Please sign and date below:

SIGNATURE OF ALL HOLDERS

DATE

DD	MM	YY
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Please note that if we have not previously paid the proceeds of a redemption to a unit holder's current bank account, we will need to verify ownership of the account. To enable us to do this please forward an original, or a certified copy of the unit holder's bank statement showing the account details; or a paying in slip; or a cancelled cheque or a letter from the unit holder's bank confirming the account details (online bank statements are not acceptable).

10 INVESTOR DECLARATION

SIGNATURE

DATE

DD	MM	YY
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Data Protection Act 1998 – Use of information

Liontrust Fund Partners LLP ("Liontrust") is a data controller within the meaning of the Data Protection Act 1998. Liontrust and its Associates (as defined in Section 52 of the Companies Act 1989) may use the personal information supplied to provide the service applied for, confirm/update customer records and establish your identity. You have the right of access to your personal data held by Liontrust at any time, by contacting us in writing and upon payment of a fee. We would like to advise you, by mail, of other products and services being offered by us and our Associates which may be of interest to you. You may write to us at Liontrust Fund Partners LLP, 2 Savoy Court, London WC2R 0EZ at any time, if you no longer wish to receive such information.

Anti-Money laundering

Liontrust is required to make various checks on transactions to comply with requirements of the Proceeds of Crime Act 2002 and statutory regulations in relation to money laundering made thereunder and the Money Laundering Sourcebook of the Financial Services Authority. These checks involve the need to obtain independent documentary evidence of the identity and permanent address of the person applying to open an Account and may be completed by verifying the details you supply against those held on any databases to which Experian, the Credit Reference Agency, has access. This includes information from the Electoral Register and fraud prevention agencies. We will use scoring methods to verify your identity. A record of this search will be kept by Experian and may be used to help other companies to verify your identity. This information may also be used by financial and other organisations involved in fraud prevention to protect ourselves and our customers from theft and fraud. If you give us false or inaccurate information and we suspect fraud, we will record this and may share it with other organisations. Completion of the application form represents permission from you to access this information in accordance with the Data Protection Act 1998. Until Liontrust receives satisfactory evidence, it may need to delay processing your instruction and/or withhold any payments due to you in respect of your investment. It would assist with this process and help Liontrust to complete its verification checks if you could pay for any investment you make by a cheque drawn on your (or joint) personal bank account. Where you are investing money using a building society or banker's draft, please arrange for them to certify, on the back of the draft, the name of the client from whose account payment is being made. Liontrust reserves the right to refuse any application to subscribe to a unit trust without giving a reason for so doing.

Liontrust does not make or accept payments from third parties.

Liontrust Fund Partners LLP is authorised and regulated by the Financial Conduct Authority (No. 518165).