

This application form is for lump sum and/or regular investments into a Jupiter Unit Trust(s). We do not accept investments from or on behalf of US Persons (a 'US Person' is a national, citizen or resident of the United States of America or a corporation or partnership organised under the laws of the United States of America or having a principal place of business in the United States of America). We also do not accept investments from or on behalf of persons in Canada or with whom we would have to deal from or into Canada. This may include a national, citizen or resident of Canada or a corporation or partnership organised under the laws of Canada or having a principal place of business in Canada (a "Canadian Person"). Please return your completed form to your agent or direct to: Jupiter Unit Trust Managers Limited, PO Box 10666, Chelmsford CM99 2BG. If you wish to pay by debit card you will need to apply for your Jupiter investment by calling Jupiter Customer Services on 0800 561 4000.

If you are unsure about this investment please consult your financial adviser. For your security we will record and randomly monitor telephone calls.

## 1 Agent Details *Block capitals please (to be completed by your agent if appropriate)*

Please tick this box if advice **has** been provided in connection with this application.

Please tick this box if advice **has not** been provided in connection with this application.

Agent stamp

Consultant's name

Agency number

Office use only

## 2 Investor Details *Block capitals please*

Failure to complete all parts of this section will delay your application.

<b>2A Investor Details</b>		<input type="checkbox"/> Please tick if an existing unitholder.	Unitholder no.
Title	First name(s)	Surname	
Designation (if applicable) must be shown as initials and/or numeric			
Permanent residential address			
			Postcode
Home Tel. No.	Work Tel. No.	Date of birth	
Email address (not compulsory)		Security password (we will ask for this password to identify you)	

<b>2B Joint Details</b>		<input type="checkbox"/> Please tick if an existing unitholder.	Unitholder no.
Title	First name(s)	Surname	
Permanent residential address			
			Postcode
Home Tel. No.	Work Tel. No.	Date of birth	
Email address (not compulsory)		Security password (we will ask for this password to identify you)	

Up to two further account holders may be registered. If this is required, then please attach their details as specified in Section 2B on a separate sheet of paper and ensure that they sign all attachments and the declaration in Section 8.

### 3 Private Investors Individual Self-Certification

Tax Regulations<sup>1</sup> require us to collect information about each investor's tax residency. In certain circumstances (including if we do not receive a valid self-certification from you) we may be obliged to share information on your account with HMRC. If you have any questions about your tax residency, please contact your tax adviser. Should any information provided change in the future, please ensure you advise us of the changes promptly.

Investor 1	
<p><b>Tax residency</b> – Please indicate all countries in which you are resident for tax purposes and the associated Tax Reference Numbers in the table below. If you are a US citizen or resident, please include United States in this table along with your US Tax Identification Number.</p>	
Country/Countries of tax residency	Tax reference number*
<p>*If you are resident in the UK for tax purposes, this will be your National Insurance Number. If you are not resident in any country for tax purposes, please tick this box: <input type="checkbox"/></p>	
<p><b>Declaration (this section is compulsory)</b> – I declare that the information provided on this form is, to the best of my knowledge and belief, accurate and complete. I agree to notify <b>Jupiter Unit Trust Managers Limited</b> immediately in the event the information in the self-certification changes.</p>	

Investor 2	
<p><b>Tax residency</b> – Please indicate all countries in which you are resident for tax purposes and the associated Tax Reference Numbers in the table below. If you are a US citizen or resident, please include United States in this table along with your US Tax Identification Number.</p>	
Country/Countries of tax residency	Tax reference number*
<p>*If you are resident in the UK for tax purposes, this will be your National Insurance Number. If you are not resident in any country for tax purposes, please tick this box: <input type="checkbox"/></p>	
<p><b>Declaration (this section is compulsory)</b> – I declare that the information provided on this form is, to the best of my knowledge and belief, accurate and complete. I agree to notify <b>Jupiter Unit Trust Managers Limited</b> immediately in the event the information in the self-certification changes.</p>	

Signed by (Please print name)	
Signature	
Date	

Signed by (Please print name)	
Signature	
Date	

<sup>1</sup>The term 'Tax Regulations' refers to regulations created to enable the automatic exchange of information and include FATCA<sup>2</sup>, various agreements to improve international tax compliance entered into between the UK and its Crown Dependencies and its Overseas Territories and the OECD Common Reporting Standard for Automatic Exchange of Financial Account Information.

<sup>2</sup>The term 'FATCA' refers to the Foreign Account Tax Compliance Act provisions contained in the US HIRE Act 2010.

## 4 Beneficial Owner Information

I/We confirm that I/we are the only beneficial owner(s) of this investment?	<input type="checkbox"/>	Yes	<input type="checkbox"/>	No
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For guidance on what constitutes a beneficial owner please refer to the Supplementary Information Document for further information.

If you have ticked NO please provide the required information about the beneficial owner(s) below.

Beneficial Owner 1		Beneficial Owner 2	
Name of person or entity		Name of person or entity	
Permanent residential address		Permanent residential address	
Date of Birth (if applicable)		Date of Birth (if applicable)	
Beneficial Owner 3		Beneficial Owner 4	
Name of person or entity		Name of person or entity	
Permanent residential address		Permanent residential address	
Date of Birth (if applicable)		Date of Birth (if applicable)	

## 5 Investment Details

I/We wish to invest in the Jupiter Unit Trust(s) as detailed below.

Fund choice (please insert full name of fund(s))	Lump sum	Monthly*
The minimum lump sum investment is £500 per fund. The minimum monthly saving is £50 per fund per month.	min £500 per fund, min top-up £250 per fund	min £50 per fund
1.		
2.		
3.		
4.		
5.		
6.		
Fund choice total	£	£

**Important Information** If you are investing monthly please complete the Direct Debit authority in section 9 and you should understand that monthly contributions will be collected from your Bank or Building Society account on the first business day of each month. If you wish to receive a monthly income by investing in the Jupiter Distribution Fund, the Jupiter Enhanced Distribution Fund and/or the Jupiter Monthly Alternative Income Fund the minimum investment is £5,000.

\*If you are already investing a monthly amount with Jupiter, any amount entered in this column will be treated as an addition to your current monthly contributions.

## 6 Income Distribution Bank Details

Do not complete this section if you are investing into accumulation units

If you've chosen a fund with income units in section 5, please provide your bank account details below, otherwise your investment will be placed into accumulation units.

Name of Bank/Building Society		Address	
		Postcode	
Account name		Building Society roll no. (if applicable)	
Bank/Building Society sort code	<input type="text"/>	Bank/Building Society A/C no.	<input type="text"/>

## 7 Payment Details

**Payment by debit card** If you wish to pay by debit card you will need to apply for your Jupiter investment over the telephone by calling Jupiter Customer Services on 0800 561 4000.

**Payment by cheque** Please make cheques payable to Jupiter Unit Trust Managers Limited.

I/We the account holder(s) enclose a cheque for £

**Cheque third party payments** – If payment for this investment is being provided by a person other than the account holder(s), then that person must attach further information to this form, which must include their full name, permanent address and date of birth. Failure to do so may delay your application.

## 8 This section is compulsory Declaration and Authorisation

I/We understand that Jupiter accepts no responsibility for any loss incurred as a result of any delay between my/our submission and Jupiter's receipt of the application form.

I/We confirm that:

- A** I/We have read and retained\* the Supplementary Information Document together with the most recently issued Key Investor Information Document(s) (or NURS Key Investor Information Document(s)), relevant to the Fund(s) selected in section 5.
- B** I/We am/are aware that you will use the Delivery versus Payment Exemption as detailed in the Terms & Conditions contained within the Supplementary Information Document.
- C** I/We give permission for client money held on my/our behalf to be transferred to another provider, should Jupiter become insolvent.
- D** I am/We are not (a) US Person(s) nor am I/are we applying for units on behalf of (a) US Person(s) nor am I/are we applying for units in order to further offer, sell or transfer such units directly or indirectly to (a) US Person(s), (a 'US Person' is a national, citizen or resident of the United States of America or a corporation or partnership organised under the laws of the United States of America or having a principal place of business in the United States of America).
- E** I am/We are not nor am I/are we applying for units on behalf of (a) Canadian Person(s) or otherwise in a position where you would deal with me or us in or into Canada nor am I/are we applying for units in order to further offer, sell or transfer such units directly or indirectly to (a) Canadian Person(s) or other persons in Canada, (a 'Canadian Person' is a national, citizen or resident of Canada or a corporation or partnership organised under the laws of Canada or having a principal place of business in Canada).

I/We enclose a cheque or bankers draft made payable to **Jupiter Unit Trust Managers Limited** for the total amount indicated in section 5. The information on this completed application form is correct to the best of my/our knowledge.

I/We agree to be bound by the Terms & Conditions relating to the Unit Trust investment and to inform you in writing immediately of any changes in my/our circumstances.

I/We confirm that I/we are the only beneficial owner(s) OR I/we confirm that all beneficial owners are known to me/us and I/we undertake to make those named above aware that they may be subjected to the anti-money laundering verifications as outlined in the Terms & Conditions.

I/We confirm that I/we are the only beneficial owner(s) OR I/we confirm that all beneficial owners are known to me/us and I/we confirm that those named above have agreed to their personal data being provided in this application, are aware that their personal data will be processed by Jupiter, and have been provided with a copy of the Jupiter privacy notice describing how and why my/our personal data will be processed by Jupiter in connection with my/our investment.

Jupiter Unit Trust Managers Limited processes your data in accordance with all applicable data protection laws, including the Data Protection Act 2018 and the General Data Protection Regulation (EU) 2016/679. For further information about how Jupiter uses your personal data, please refer to the section headed Data Protection in the Jupiter Terms & Conditions and review the Jupiter privacy notice, which can be found on our website at <https://www.jupiteram.com/Shared-Content/Legal-content-pages/Privacy>.

Applicants Signature	Date	Signature: Third named unitholder	Date
Signature: Second named unitholder	Date	Signature: Fourth named unitholder	Date

### Your Unit Trust investment limits

	Lump sum minimum per fund	Top-up minimum per fund	Monthly minimum per fund
Jupiter Distribution Fund, Jupiter Enhanced Distribution Fund and Jupiter Monthly Alternative Income Fund			
<b>Income units</b>	£5,000	£250	N/A
<b>Accumulation units</b>	£500	£250	£50
All other Jupiter funds			
<b>Income/accumulation units</b>	£500	£250	£50







