

Investec ISA application form

Stocks & shares 2019/2020

For individual investors



This application form should only be completed by individual investors wishing to invest in an Investec Stocks & Shares ISA.

This Form can be used by individual investors to make an initial lump sum and/or regular savings investment in the Investec Stocks & Shares ISA. Please ensure that you read the Prospectus, the Key Investor Information Document (KIID) for the share class(es) of the fund(s) you want to invest in and the Supplementary Information Document (SID), which includes the Terms and Conditions for Direct Investors. If you are a US person we will not be able to accept your application.

Financial intermediaries

If a financial intermediary has arranged this application, commission may be payable to them if advice has not been given.

Investec Client Services Team

Telephone: +44 (0)20 7597 1900
Email: enquiries@investecmail.com

Please complete, where relevant, ALL sides of the form in BLOCK CAPITALS and return to: Investec Fund Managers Limited, PO Box 9042, Chelmsford, CM99 2XL.

For hand and courier deliveries only, please return to: Investec Fund Managers Limited, Adams House, 2 Springfield Lyons Approach, Chelmsford, Essex, CM2 5LG.

Application checklist

- Have you completed the sections applicable to you as detailed in the section 'How to complete this form'?
- If this form is submitted via a financial intermediary, has Section 7 been completed by that financial intermediary?
- If you are paying for your investment by cheque, have you made your cheque payable to Investec Fund Managers Limited?
- If you want your redemption proceeds to be paid directly to your bank account, have you provided the verification documentation as required in Section 4?
- Have you signed and dated the declaration in Section 6?
- If you would like access to our online valuation service, have you supplied your email address in Section 2? If you elect to do so, we will email you with instructions on how to access this service.
- If you are investing through our regular savings plan, have you completed the attached direct debit form?

How to complete this form

To ensure your application is processed, please complete all mandatory sections; 1, 3, 4 (if applicable), 5 & 6 of this application form and return it to us at the address specified.

Please refer to the 'How to complete this form' section on page 2 and complete the below sections, in BLOCK CAPITALS, as applicable.

1. Investor details

You must complete this section. All fields within this section are mandatory, your application form cannot be processed without this information. Please note that you must be over 18 years of age in order to open a Stocks & Shares ISA.

Title	First name(s)	Surname
Residential address		Postcode
Contact telephone number (if available)	Date of birth DD / MM / YYYY	
Investec account number (if applicable)	Designation (if applicable)	
Email address		
National Insurance* (NI) number		
Please tick here if you do not have a NI number <input type="checkbox"/>		

*You should be able to find your NI number on a payslip, P45 or P60 forms, a letter from Her Majesty's Revenue & Customs (HMRC), a letter from the Department of Work and Pensions or your pension order book.

2. Indicator online valuation service

If you would like to register for Indicator, Investec's free online valuation service, please supply your details below and tick here.

Title	First name(s)	Surname
Email address		

3. Investment details

Please enter below the Fund name(s) and share class type(s) that are to be invested in and the amount(s) to be invested. If you do not specify this information the application may be rejected. You will automatically receive A GBP Net shares in your selected funds. For details of the A share class and share class types available for each Fund please refer to the Funds/ Prices & Performance page on our website (www.investecassetmanagement.com).

Investors who wish to subscribe for the 2019/20 tax year in the Investec ISA via lump sum investment and/or regular monthly savings are reminded that their total subscriptions cannot exceed the maximum permitted ISA stocks & shares annual allowance of £20,000.

Notwithstanding any indication of a share class type *, if a Fund you have selected only issues one share class type you will automatically receive that share class type. If you do not mark any share class type box below you will automatically be invested in Accumulation shares. Where available, if you elect to receive either Income or Income 2 share class types please see Section 4 and provide your bank details for the payment of any income to be made directly to your bank account. If you do not provide these details you will automatically be invested in the Accumulation share class type.

* Accumulation ('Acc'), Income ('Inc') or Income 2 ('Inc2')

Regular Savings Plan: If you wish to invest by monthly instalments into the Regular Savings Plan, please enter below the amount of your Direct Debit to be applied for each Fund(s). Notwithstanding any indication of a share class type, all subscriptions via the Regular Savings Plan will be in Accumulation shares. Your initial payment must be made by enclosing a personal cheque. You must also complete the attached Direct Debit Form and return it to us with this properly completed application form. Monthly contributions will be collected from your bank or building society account on the first business day of each month.

I apply to subscribe to the Investec Stocks and Shares ISA: (please tick as appropriate)

- For the tax year 2019/2020 for lump sum investment; and/or
- If investing through the Regular Savings Plan, each subsequent year until further notice.

Full names of chosen Fund(s)	Share class	Acc	Inc	Inc2	Lump sum amount min. £1000	Regular savings amount min. £100
	A					
	A					
	A					
	A					
Make your cheque payable for this amount to: Investec Fund Managers Limited.					Total £	

4. Bank mandate for income distribution and/or redemption proceeds

Please complete this section if you wish to have either income distributions and/or redemption proceeds paid directly to your bank account.

Income distributions: If you have indicated in Section 3 that you wish to invest in Income or Income 2 shares you **must** complete this section with your bank details. If you do not provide your bank details in this section, then you will automatically be invested in Accumulation shares until such time as you provide your bank details to us.

Redemption proceeds: If you do not complete this section then any future redemption proceeds will be paid by cheque. The payment of redemption proceeds is subject to Investec having verified your identity. In certain circumstances we may need to request additional information to verify your identity. If this is necessary we will write to request the information and this may delay the payment of your proceeds.

Please note, we do not make any third party payments. The bank account indicated must be in the name of the investor and we will only make income payments and redemption payments, as applicable, to this account.

Redemption payments will only be made directly into your bank account in this way if the details you provide are the same as those previously supplied. We can only make redemption payments by electronic bank transfer if we hold proof of your bank account on file.

For redemption proceeds to be paid directly to your bank account we will need to receive from you one of the following documents for the relevant bank account in order to verify your bank account details:

- A spoiled cheque
- A bank pay in slip
- An original hard copy bank statement less than three months old
- A formal printed bank statement available from your internet banking facility less than three months old

Please contact us if you are unsure of whether we hold your current bank details.

Bank/building society name

Branch address

Postcode

Account holder name

Sort code

Bank/building society account number

Building society roll number

5. Declaration

By signing below you declare that:

- All subscriptions made, and to be made, belong to me.
- I am 18 years of age or over.
- I have not subscribed and will not subscribe more than the overall subscription limit in total to a Cash ISA, a Stocks and Shares ISA, an Innovative Finance ISA and a Lifetime ISA in the same tax year.
- I have not subscribed and will not subscribe to another Stocks and Shares ISA in the same year that I subscribe to this Stocks and Shares ISA.
- I am resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of the Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform Investec Fund Managers Limited if I cease to be so resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties.
- I am not a US person and will inform Investec Fund Managers Limited immediately should my status change.
- I have received and read an up-to-date version of the Prospectus, the Key Investor Information Document(s) for the Fund(s) and share class(es) in which I wish to invest and the Investec Supplementary Information Document ('SID') (which includes Investec's Terms & Conditions for ISA investors in the Investec OEIC Fund range).
- I/we agree that the information provided as part of this application form, will be treated in accordance with the Privacy Notice (available at investecassetmanagement.com).
- I agree to notify Investec Fund Managers Limited immediately in the event the information I have provided on this form changes.

I authorise Investec Fund Managers Limited:

- To hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash.
- To make on my behalf any claims to relief from tax in respect of ISA investments.
- This application is subject to our ISA terms and conditions upon which we intend to rely. For your own benefit and protection you should read these terms carefully before signing this application form. If you do not understand any point please ask for further information.

Signature

	Date DD / MM / YYYY
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Name (please print)

6. Financial intermediary declaration and undertaking

(Please ignore this section if you are not a financial advisor)

If this form is submitted via a Financial Intermediary, then this section must be completed by that Intermediary. By completing this section you are confirming that you have received, read and are bound by Investec's Intermediary Terms of Business.

Company name

Contact name

Branch address

Post code

FCA number

Investec agent code (if available)

Please tick the applicable box in either Part 1 or Part 2 below*.

I/we confirm:

Part 1 – Advice has been given in respect of this application and NO commission can be paid.

Part 2 – Advice has NOT been given in respect of this application and commission can be paid.

I/we represent and warrant that this application form is submitted on terms that require commission to be paid by Investec and that I/we am/are permitted to receive such commission under the FCA Rules. I/we undertake to notify Investec immediately if this commission ceases to be permitted under the FCA Rules. In this case I/we agree that such remuneration will cease to be payable and I will repay any such remuneration I have received.

*If Part 2 is not ticked then no commission will be paid.

Signature

	Date DD / MM / YYYY
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Full name (please print)

Position (please print)

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Investec Direct Debit Form

Direct Debit Instruction

Investec Client Services Team Telephone: +44 (0)20 7597 1900

The Direct Debit Guarantee

This Guarantee should be detached and retained by the payer.



- This Guarantee is offered by all Banks and Building Societies that take part in the Direct Debit Scheme. The efficiency and security of the Scheme is monitored and protected by your own Bank or Building Society.
- If there are any changes to the amount, date or frequency of your Direct Debit Investec Fund Managers Limited will notify you 14 working days in advance of your account being debited or as otherwise agreed. If you request Investec Fund Managers Limited to collect a payment, confirmation of the amount and date will be given to you at the time of the request.
- If an error is made in the payment of your Direct Debit, by Investec Fund Managers Limited or your bank or building society you are entitled to a full and immediate refund of the amount paid from your bank or building society.
- If you receive a refund you are not entitled to, you must pay it back when Investec Fund Managers Limited asks you to.
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.
- If the amounts to be paid or the payment dates change, you will be told of this in advance of the collection, by at least 14 days, as agreed.
- If an error is made by us or your Bank/Building Society, you are guaranteed a full and immediate refund from your branch of the amount paid.
- You can cancel a Direct Debit at any time, by writing to your Bank or Building Society. Please also send a copy of your letter to us.

Important information

If you wish to invest by monthly instalments into the Regular Savings Plan please ensure you complete the Direct Debit Instruction below and return it with your completed OEIC application form to the address detailed below.

Instruction to your bank or building society to pay by Direct Debit



Please fill in the form and send to:
Fund Centre, Investec Fund Managers Limited. PO Box 9042, Chelmsford CM99 2XL

1. Name of bank/building society _____

To: The Manager bank/building society _____

Address _____

Post code _____

2. Account name(s) _____

3. Branch sort code _____ 4. Account number _____

Service user number **800289** 5. Reference _____

6. Instruction to your Bank or Building Society

Please pay Investec Fund Managers Limited Direct Debits from the account detailed in this Instruction subject to the safeguards assured by The Direct Debit Guarantee. I understand this instruction may remain with Investec Fund Managers Limited and, if so, details will be passed electronically to my bank/building society.

Signature

	Date DD / MM / YYYY
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Banks and building societies may not accept Direct Debit instructions for some types of account.

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