

ISA

Class R GBP
Application forms 2019/2020
Terms and conditions



ISA terms and conditions

Definitions

Account

Account holding your Investments in an ISA.

Additional Permitted Subscription (APS)

The additional amount you are able to subscribe to an ISA following the death of your Spouse or Civil Partner. This is up to the value of the investments in the deceased ISA investor's ISA at their date of death. Additional permitted subscriptions will not count towards your annual subscription limit. Where the deceased ISA investor held ISAs with a number of different ISA managers, you will have an APS allowance for each of those ISAs.

Application Form

Artemis Stocks and Shares ISA Application Form or in the case of an ISA transfer, a Stocks and Shares ISA Transfer Application Form.

Company

Artemis Fund Managers Limited.

Continuing ISA

A continuing account of a deceased investor, as defined within the rules, relating to accounts of ISA holders who die on or after 6 April 2018.

Financial Adviser

Any person authorised by the FCA who has entered into a terms of business agreement with the Plan Manager which covers the Plan.

FCA

The Financial Conduct Authority.

Fund(s)

Artemis' range of unit trusts.

Investment

Units in the Artemis Funds held through an ISA.

Investor

An individual (or individuals) who has (or have) purchased units in Artemis fund(s).

ISA

An Individual Savings Account.

ISA agreement

Terms and conditions and the ISA Application Form.

ISA regulations

The Individual Savings Account Regulations 1998 (as amended from time to time).

Plan Manager

Artemis Fund Managers Limited, authorised and regulated by the Financial Conduct Authority.

Purchase

Buying units within the ISA.

Rules

The rules of the FCA and HM Revenue and Customs (as amended or replaced from time to time).

Sale

Selling units from the ISA.

Tax year

A tax year beginning on 6 April in any calendar year and ending on 5 April in the following calendar year.

Terms and conditions

These terms and conditions (as amended from time to time).

We

Artemis Fund Managers Limited.

Working day

A day (other than a Saturday, Sunday or statutory holiday) on which UK clearing banks are open for business.

You

The person named as the applicant in the Application Form. You are classed as a retail investor. This means that you receive the greatest level of protection under the FCA Regulations and makes sure you receive full information about the Company before you invest.

1 The Artemis Fund Managers Limited ISA

The ISA will be a Stocks and Shares ISA as defined in the Individual Savings Account Regulations 1998 (the ISA regulations) and any amendments to them. We will manage your ISA account in line with:

- your instructions;
- rules set by the Financial Conduct Authority (FCA);
- the ISA regulations; and
- the ISA agreement.

If any term or condition of the ISA agreement conflicts with the ISA regulations, the ISA regulations will apply.

2 Payments into your ISA

We will invest your payments in line with the instructions you gave in the ISA application form. You can only hold one Stocks and Shares ISA in any one tax year. You can pay in a lump sum or lump sums, or make regular monthly payments, or both. The minimum initial lump sum is £1,000. If you make regular monthly payments, the minimum payment is £50. The current maximum you can invest into your ISA for the tax year 2019/2020 is £20,000. In the event that your monthly payments during the period are going to take you over the maximum investment for your ISA, we will review the payments and reduce the monthly collection in order that the

full investment is utilised in the tax year. Please note in some cases this may result in some collections being stopped to prevent you going over the maximum investment. You will not need to write to us to reinstate the monthly payments as these will automatically start in the next tax year, unless you request otherwise. Artemis only offer stock and shares ISA.

3 Investment

To open an ISA account you can apply by phone or completing an application form.

- a If we accept your application, we will use your payments into the ISA to buy units in your chosen Artemis fund(s). If we receive your instruction before 12 noon, we will buy your units the same working day. If we receive your instruction after 12 noon, we will buy your units the next working day.
If we need to hold any money before it is invested, we will hold it in a separate account that does not pay interest.
- b You can give a written instruction, telephone us or by going online to switch units to another fund or funds. The amount you switch to any new fund must be at least £1,000 (or any other amount we may set from time to time). The value of the remaining units in a fund you have switched units from must be at least £1,000.
To action a switch, we sell the units at the bid price in the current fund(s) and buy units in the new fund(s) at the creation price. The bid price and creation price will be those in force at 12 noon on the working day after we receive your written instructions. From 4 February 2019 switches will be carried out using the new single price on both funds. We will let you know by placing a notice on our website at www.artemisfunds.com/fund-changes if there is any delay in implementing this change.
- c Any proceeds from your ISA account are currently completely free from personal income tax and capital gains tax. It is important to remember that the current tax advantages of an ISA account may change.
- d Units are sold to or bought from us acting as principal (that is, we are acting on our own account and not as your agent).
- e If you are setting up a direct debit we will need to see proof that the bank account you are using matches the details you have provided us with. You can do this by making the first payment by cheque. This must be from the account you will be using for your monthly payments. Alternatively, you can provide a void cheque or bank statement along with your application to verify the bank account details that you would like us to collect from. Please note that we must verify the account before we can set up your direct debit.

4 Ownership

All units held in your ISA will belong to you and cannot be used as security for a loan. You or we cannot transfer or lend ISA units, ownership documents or any other property relating to an account to anyone else.

5 Custody

The units will be registered in the name of the ISA Manager, Artemis Fund Managers Limited but beneficial ownership will remain with the investor. We must use any amount you have

paid into your account to buy units. If we need to hold it before buying units, we will hold it in a separate account that does not pay interest. That account will be with the bank or building society we specify from time to time.

6 Your rights

You have the right to receive a copy of the prospectus, attend and vote at meetings for investors, and receive any other information we send to people who have invested in a unit trust outside an ISA.

7 APS ISA

For more information on APS ISAs please refer to the APS ISA terms and conditions or contact Client Services team.

8 Transfer to another ISA manager

Artemis can transfer your ISA to another ISA manager in accordance with the ISA Regulations relating to transfers.

You need to contact the other ISA provider and complete the ISA transfer forms that they give you. The new ISA provider will then send us your completed transfer forms and confirm that they will accept the transfer. If you are transferring an ISA in cash, we will sell the units at 12 noon on the day we receive your instruction and pay the proceeds to the other provider. If you are transferring an ISA in units, we will transfer your units to the new provider. Currently there is no charge. However in the future we may make a charge if you want to re-register an ISA to an organisation such as a fund supermarket.

9 Statement of investments

Every six months, you will receive a statement showing details of all the units you have bought and sold over the previous six months, the total number of units in your ISA account, and the value of those units. The valuations shown on the statement will be as at 12 noon on 5 April and 5 October.

10 Client money

Cash may be held for you in a client money account in the UK with an authorised bank in accordance with the FCA's client money rules. The bank will hold the cash on our behalf in an account separate from any account used to hold money belonging to us in our own right. We will not be responsible for any acts or omissions of the bank. If the bank becomes insolvent, we will have a claim on behalf of our clients against the bank. If the bank cannot repay all of its creditors, any shortfall may have to be shared pro-rata between them. No interest is earned on any balances in a client money account.

We will use the "delivery versus payment" (DvP) exemption as permitted by the Rules, which provides for a one-day window during which money given to us is not treated as client money. We will use the DvP exemption when: (a) we receive cash from you in relation to units to be purchased on your behalf from the trustee; (b) we receive the proceeds of sale of units paid by the trustee to us in accordance with the Rules; or (c) we settle a transaction on your behalf with

a commercial settlement system. If we have not passed subscription money to the trustee or if we have not paid redemption proceeds to you at the end of the one-day window, we will place the money in a client money bank account until we can make the transfer. Money which is not held as client money will not be protected if we become insolvent.

We may cease to treat cash held for you in a client money account as your cash, if we have held it for you for a period of not less than six years and, having taken all reasonable steps, are unable to contact you. In such circumstances, that cash can be paid to charity. We will pay all amounts due to you where you re-establish contact with us.

If Artemis Fund Managers Limited effects a transfer of business, then we may transfer cash held in a client money account to another authorised firm. Cash transferred by us out of a client money account will continue to be treated under the FCA's client money rules by any new authorised firm. We will perform all necessary checks and enquiries prior to any transfer of business to satisfy ourselves that the new authorised firm is able to act in accordance with FCA's client money rules.

11 Records

We will keep all records relating to your ISA account as specified in the ISA regulations and rules set by the FCA. We will make tax returns to HM Revenue & Customs and will give you all the tax information the ISA regulations say that we must provide. We will tell you if your ISA account has lost or will lose its tax advantages because it does not meet the requirements of the ISA regulations.

12 Charges and commission

Charges made to your ISA account will be limited to those we make on our funds. Details of the charges for each fund are given in the Key Investor Information Document for that fund. We will value all units at 12 noon each working day.

13 Closing the account

You or we can close the account at any time by giving notice in writing. If we receive your instruction before 12 noon, we will sell your units the same working day. If we receive your instruction after 12 noon, we will sell your units the next working day. We will pay the proceeds from your ISA account (after taking off any charges, expenses and tax that is due) to you or if you have died, your estate, together with a closing statement.

The account will be treated as being closed on the date we pay the proceeds to you or your estate.

If you ask us to in writing, we may transfer the units in the account to one that is outside the ISA regulations.

If you die, the tax treatment of your ISA will depend upon the date of death.

Death of an investor on or before 5 April 2018

Interest, dividends or gains in respect of investments in an ISA that arise after death of an investor are not exempt

from tax. Interest accrued up to and including date of death will be treated as arising in the ISA and will therefore not be liable to income tax. Interest accrued from the date of death, which is not exempt from income tax (i.e. if paid or credited before 6 April 2016) will be paid with tax deducted at the basic rate.

Death of an investor on or after 6 April 2018

The investors account will be classed as a continuing account and will remain as such until the earlier of:

- The completion of the administration of the deceased estate
- The closure of the account
- The Third anniversary of the death of the investor

The investment will continue to benefit from ISA tax advantages and any interest, dividends or gains in respect of the investments are exempt from tax. If, after 3 years, the account has not been closed then it will cease to be a continuing account of a deceased investor and any subsequent income or gains will become taxable.

We will not sell the units until we have received sufficient information to prove that the person claiming the units is legally entitled to them. The units will be affected by changes in price until they are sold.

14 Withdrawals from the account

You can make a withdrawal from the ISA account at any time by fax, writing to us, by telephone or by going to 'Online Services' at www.artemisfunds.com. The minimum withdrawal is £500. The £500 minimum must only be taken from one fund. We may close the ISA account if a withdrawal reduces the balance of a fund to below £1,000.

If you make a withdrawal, you cannot make further payments into the account to make up for it if you have already paid in the maximum amount allowed in that tax year.

When you make a withdrawal, we will sell enough units to raise the amount you want to withdraw. If we receive your instruction before 12 noon, we will sell your units the same working day. If we receive your instruction after 12 noon, we will sell your units the next working day. If you have given us all the information we need, we will pay the withdrawal to you on the fourth working day after:

- we sell the units; or
- we receive your signed renunciation form if selling online, by fax or telephone call.

15 Communication

Unless you give us instructions online, you must give your instructions in writing. We will send confirmation of those instructions to the last address you gave us. We will only accept instructions given by phone, fax or email if you confirm your instructions in writing. We will only contact you in connection with managing your ISA account. We may monitor and record phone calls.

16 Excluding our liability

We will be liable for any losses you suffer as a result of our negligence or something that we should or should not have done, or us not keeping to any of these terms and conditions.

We will not be liable for any loss or damage you suffer as a result of circumstances which are beyond our reasonable control.

We do not guarantee the performance of any funds or of the ISA account. We will not be liable for any opportunity you missed for increasing the value of your ISA account, or for any reduction in the value of your ISA account.

By signing the application form you authorise us to give any information about your ISA account to HM Revenue & Customs, the Financial Conduct Authority, our professional advisers, auditors and associates, and to anyone else we are allowed by law to give the information to.

17 Risk warnings

The price of units, and the income from them, can fall and rise because of stock market and currency movements. Please remember that past performance is not a guide to future performance.

Each of the funds has different risks associated with its underlying investments. These are described in their Key Investor Information Document, which you should read carefully before you make any decisions on investments.

Tax laws and practices can change. The tax advantages of ISAs are those available under current tax laws.

The value of the tax advantages depends on your individual circumstances.

For the Artemis Income Fund, the Artemis High Income Fund, the Artemis Global Income Fund and the Artemis Monthly Distribution Fund, we take the annual management charge from the capital of the funds rather than their income. This should increase the income the funds earn but may limit the capital growth or even reduce the capital. When we do this, if you have already made the maximum payment into your ISA account in that tax year, you cannot make further payments to increase the capital.

Full details of the risks of investing in an ISA are set out in the Key Investor Information Document for each fund.

18 Conflicts of interest

A conflict of interest is when our own interests disagree with or clash with your interests. These conflicts of interest usually arise from us providing investment services to other clients. If a conflict of interest arises, we will try to make sure that we provide our services in a way which does not put any client at a disadvantage. We have adopted policies and procedures to manage conflicts of interest. You can get more information on these policies and procedures from us.

19 Data protection

Artemis needs to collect your personal data (and in some cases data relating to individuals associated with your account) in order to process your application to invest with us. We have documented our role as data controller in our

Privacy Notice which can be obtained from our website or from the Client Services team using the contact details below. The Privacy Notice explains how we store your data, the reasons why we collect it, who we may share it with and your rights in relation to the data we hold about you. Please take the time to read the Privacy Notice which also explains how you may contact us if you have any queries relating to our holding of your personal data.

20 Identification checks

Under the Money Laundering Regulations we must check the identity and address of investors in our fund(s) and of anyone making payments on behalf of investors. We must also check the identity and address of all investors who have a beneficial interest in the shares.

Our checks may include searches of the electoral roll and making enquiries through credit-reference agencies. Under the General Data Protection Regulation 2016, your instruction to buy our funds gives us permission to gather this information.

We can delay processing your instructions and withhold any payments due to you until we receive satisfactory evidence of your identity and address. We will hold any cash in an account that does not pay interest. We can refuse any application to buy our fund(s) without giving a reason for doing so.

If you would like a full list of acceptable documents please call our Client Services team on 0800 092 2051 or email investorsupport@artemisfunds.com.

21 Changing these terms and conditions

The ISA account must keep to the ISA regulations, which may change from time to time. We can change these terms and conditions by giving you reasonable notice in writing, as long as the change does not result in the account not meeting the ISA regulations.

22 Appointing a new ISA manager

We may appoint another company within the group to be the ISA manager. If we do this we will give you one month's notice first. We may appoint any person (whether or not connected with us) to give advice on or to carry out any of our roles or duties, and may provide information about you and your account. Before we appoint another person to carry out any of our roles or duties, we will make sure that they are competent.

23 Administration

The day-to-day administration of the funds is carried out by DST Financial Services Europe Limited.

24 Governing law

The ISA agreement will be governed by English law. It is based on our understanding of current tax laws and HM Revenue & Customs practice.

Filling in your application form

Thank you for requesting this application form from Artemis. If you require additional information about us or our funds please contact us;

You can phone us on 0800 092 2051, between 8am and 6pm Monday to Friday. We may record and monitor any phone calls.

You can also:

- send an email to: investorsupport@artemisfunds.com; or
- write to us at:

Artemis Fund Managers Limited
PO Box 9688
Chelmsford
CM99 2AE.

If you want any document in another format (for example, in large print, in Braille or on CD), phone the Client Services team on 0800 092 2051 or contact us by email or by post at the addresses shown above.

Filling in your application form

Before filling in your application, be sure that you understand the features of the investments you have chosen. Please make sure you have chosen the fund(s) that is/are right for you, have considered the risks involved and understand the effect charges have on your investment.

Please read these instructions, the Key Investor Information Document(s) for each of your selected fund(s) and the Supplementary Information Document carefully, and retain them for future reference.

You should consider getting independent financial advice before you make any investment.

Please fill in your application form in block capitals.

Payment

The current maximum you can invest into your ISA for the tax year 2019/2020 is £20,000. If you are sending a cheque with your application, make it out to 'Artemis Fund Managers Limited'. The cheque should be from a personal bank account held in your name or be made out by a building society. If the cheque is made out by a building society, this must include reference to your name and be made payable to 'Artemis Fund Managers Limited'.

If you are making monthly payments, fill in the Direct Debit form on page 13. We will then take your payments directly

from your account each month on the first working day. Please note it takes at least 13 working days to set up a Direct Debit. If you are setting up a direct debit we will need to see proof that the bank account you are using matches the details you have provided us with. You can do this by making the first payment by cheque. This must be from the account you will be using for your monthly payments. Alternatively, you can provide a void cheque or bank statement along with your application to verify the bank account details that you would like us to collect from. Please note that we must verify the account before we can set up your direct debit.

Important information

Please make sure that you read and sign the declaration and authorisation.

Please also make sure that you read the Key Investor Information Document(s) for the fund(s) you have chosen, as you will be asked to acknowledge that you have done so when completing the application form.

If you have made this investment through a financial adviser, make sure they fill in part C.

If you wish to receive income payments, please fill in part E.

When you have filled in all relevant sections, send your application, with a cheque if appropriate, to:

Artemis Fund Managers Limited
PO Box 9688
Chelmsford
CM99 2AE.

If you have any questions, phone 0800 092 2051.

A Personal details

Title (Mr, Mrs, Ms and so on)

Surname

First names

Address

Date of birth

Daytime
phone number

Email address

If you already have an Artemis Fund Managers' ISA or unit trust, give your client reference number below.

National Insurance
number

or

I confirm that I do not hold a National Insurance number (Please tick.)

☐**B Declaration and authorisation**

I apply to subscribe for a stocks and shares ISA for tax year 2019/2020 and each successive year until further notice.

Please read this section carefully before you sign and date the declaration, and make sure that the total amount you want to invest over the whole tax year (as shown in section D) is not more than the Stocks and Shares ISA limit.

We intend to rely upon our standard ISA terms and conditions. For your own benefit and protection you should read these terms carefully before signing them. If you do not understand any point, please ask for more information.

All subscriptions made, and to be made, belong to me.

I am 18 years of age or over.

I have not subscribed and will not subscribe more than the overall subscription limit in total to a cash ISA, a stocks and shares ISA, an innovative finance ISA, and a Lifetime ISA in the same tax year.

Any ISA transfers I apply for are valid Stocks and Shares or Cash ISAs.

I have not subscribed and will not subscribe to another stocks and shares ISA in the same tax year that I subscribe to this stocks and shares ISA, and I am resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform Artemis Fund Managers Ltd if I cease to be so resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties.

I authorise you to hold my cash subscription, plan investment, interest, dividends and any other rights or funds relating to those investments and any other cash; make on my behalf any claims to tax relief on my investments; and, when I ask in writing, transfer or pay to me my investment, interest, dividends, rights or other funds relating to my investments or any cash.

I understand that you accept no responsibility for any loss I suffer as a result of any delay in me giving you my application form. I confirm that I have read and kept a copy of the ISA terms and conditions.

As far as I know, the information I have filled in on this application form is correct.

I confirm that I have read the Key Investor Information Document for each fund I have invested in.

I/we confirm that I/we am/are aware that you will use the Delivery versus Payment exemption from the requirement to treat client money as "client money" in accordance with the FCA Handbook of Rules and Guidance. In the event that you become insolvent or otherwise fail, I/we understand that there is a risk of loss or delay in the return of any money held by you that is not treated as "client money" as a result of your use of the Delivery versus Payment exemption, and that there is no guarantee that such money would be returned to me/us. I hereby consent to you making use of the Delivery versus Payment exemption, as more fully described in the relevant section of the Prospectus.

Signature

Date

C To be filled in by your financial adviser

Company name
and address
(use Agent stamp
if available)

Agent code

Please tick if advice **not** given☐

D Investment requirements

Investment limits each tax year

Lump sum	<input type="text"/>	Monthly savings	<input type="text"/>
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	Stocks and Shares ISA	
	Minimum investment	Maximum investment
Lump sum 2019/2020	£1,000 a fund	£20,000
Monthly savings 2019/2020	£50 for each fund	–

In the boxes below, fill in the amounts you are investing in each fund and whether you would like accumulation or distribution units, where applicable. You can select to invest lump sums, monthly payments or both.

☐ I confirm that I have read the latest Key Investor Information Document (KIID) relating to each fund selected.
Failure to tick this box will result in your application being rejected.

Fund	Accumulation units	Distribution units	Lump Sum	Monthly payment
Artemis Capital class R units	<input type="text"/>		£ <input type="text"/>	£ <input type="text"/>
Artemis European Growth class R units	<input type="text"/>		£ <input type="text"/>	£ <input type="text"/>
Artemis European Opportunities class R units	<input type="text"/>	<input type="text"/>	£ <input type="text"/>	£ <input type="text"/>
Artemis Global Energy class R units	<input type="text"/>		£ <input type="text"/>	£ <input type="text"/>
Artemis Global Growth class R units	<input type="text"/>		£ <input type="text"/>	£ <input type="text"/>
Artemis Global Income class R units	<input type="text"/>	<input type="text"/>	£ <input type="text"/>	£ <input type="text"/>
Artemis Global Select class R units	<input type="text"/>		£ <input type="text"/>	£ <input type="text"/>
Artemis High Income class R units		<input type="text"/>	£ <input type="text"/>	£ <input type="text"/>
Artemis Income class R units	<input type="text"/>	<input type="text"/>	£ <input type="text"/>	£ <input type="text"/>
Artemis Monthly Distribution class R units (the minimum investment is £10,000)	<input type="text"/>		£ <input type="text"/>	£ <input type="text"/>
Artemis Monthly Distribution class R units (the minimum investment is £10,000)		<input type="text"/>	£ <input type="text"/>	
Artemis Strategic Assets class R units	<input type="text"/>		£ <input type="text"/>	£ <input type="text"/>
Artemis Strategic Bond class QR units	<input type="text"/>	<input type="text"/>	£ <input type="text"/>	£ <input type="text"/>
Artemis Strategic Bond class MR units (the minimum investment is £10,000)	<input type="text"/>		£ <input type="text"/>	£ <input type="text"/>
Artemis Strategic Bond class MR units (the minimum investment is £10,000)		<input type="text"/>	£ <input type="text"/>	
Artemis UK Select class R units	<input type="text"/>		£ <input type="text"/>	£ <input type="text"/>
Artemis UK Smaller Companies class R units	<input type="text"/>		£ <input type="text"/>	£ <input type="text"/>
Artemis UK Special Situations class R units	<input type="text"/>		£ <input type="text"/>	£ <input type="text"/>
Total			£ <input type="text"/>	£ <input type="text"/>

For monthly payments please also remember to fill in a Direct Debit form and provide documents to verify your bank account.

Please ensure you indicate the type of units you wish to purchase by ticking the accumulation or distribution boxes. Failure to tick a box will result in your application being rejected, unless the fund chosen only has one unit type. Income received on accumulation units is reinvested into the fund automatically and is reflected in the value of units. Income received on distribution units can be paid out to you. Please fill in part E with your account details.

E Income payment – distribution units only

In this part, give details of the account you want us to pay any income into. If you are investing in an Artemis fund that offers distribution units/shares and you do not fill in this part, your investment will buy accumulation units/shares and you will not receive any income. If you are investing in the Artemis High Income Fund and you do not fill in this part, any income your units/shares earn will be reinvested.

Name of bank or building society	<input type="text"/>	Name the account is held in	<input type="text"/>
Address	<input type="text"/>	Branch sort code	<input type="text"/> <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> <input type="text"/>
		Account number	<input type="text"/>
		Building society roll number	<input type="text"/>

A Personal details

Title (Mr, Mrs, Ms and so on)

Surname

First names

Address

Date of birth

Daytime
phone number

Email address

If you already have an Artemis Fund Managers' ISA or unit trust, give your client reference number below.

National Insurance
number

or

I confirm that I do not hold a National Insurance number (Please tick.)

☐**B Declaration and authorisation**

I apply to subscribe for a stocks and shares ISA for tax year 2019/2020 and each successive year until further notice.

Please read this section carefully before you sign and date the declaration, and make sure that the total amount you want to invest over the whole tax year (as shown in section D) is not more than the Stocks and Shares ISA limit.

We intend to rely upon our standard ISA terms and conditions. For your own benefit and protection you should read these terms carefully before signing them. If you do not understand any point, please ask for more information.

All subscriptions made, and to be made, belong to me.

I am 18 years of age or over.

I have not subscribed and will not subscribe more than the overall subscription limit in total to a cash ISA, a stocks and shares ISA, an innovative finance ISA, and a Lifetime ISA in the same tax year.

Any ISA transfers I apply for are valid Stocks and Shares or Cash ISAs.

I have not subscribed and will not subscribe to another stocks and shares ISA in the same tax year that I subscribe to this stocks and shares ISA, and I am resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform Artemis Fund Managers Ltd if I cease to be so resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties.

I authorise you to hold my cash subscription, plan investment, interest, dividends and any other rights or funds relating to those investments and any other cash; make on my behalf any claims to tax relief on my investments; and, when I ask in writing, transfer or pay to me my investment, interest, dividends, rights or other funds relating to my investments or any cash.

I understand that you accept no responsibility for any loss I suffer as a result of any delay in me giving you my application form. I confirm that I have read and kept a copy of the ISA terms and conditions.

As far as I know, the information I have filled in on this application form is correct.

I confirm that I have read the Key Investor Information Document for each fund I have invested in.

I/we confirm that I/we am/are aware that you will use the Delivery versus Payment exemption from the requirement to treat client money as "client money" in accordance with the FCA Handbook of Rules and Guidance. In the event that you become insolvent or otherwise fail, I/we understand that there is a risk of loss or delay in the return of any money held by you that is not treated as "client money" as a result of your use of the Delivery versus Payment exemption, and that there is no guarantee that such money would be returned to me/us. I hereby consent to you making use of the Delivery versus Payment exemption, as more fully described in the relevant section of the Prospectus.

Signature

Date

C To be filled in by your financial adviser

Company name
and address
(use Agent stamp
if available)

Agent code

Please tick if advice **not** given☐

D Investment requirements

Investment limits each tax year

Lump sum	<input type="text"/>	Monthly savings	<input type="text"/>
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	Stocks and Shares ISA	
	Minimum investment	Maximum investment
Lump sum 2019/2020	£1,000 a fund	£20,000
Monthly savings 2019/2020	£50 for each fund	–

In the boxes below, fill in the amounts you are investing in each fund and whether you would like accumulation or distribution units, where applicable. You can select to invest lump sums, monthly payments or both.

☐ I confirm that I have read the latest Key Investor Information Document (KIID) relating to each fund selected. Failure to tick this box will result in your application being rejected.

Fund	Accumulation units	Distribution units	Lump Sum	Monthly payment
Artemis Capital class R units	<input type="text"/>		£ <input type="text"/>	£ <input type="text"/>
Artemis European Growth class R units	<input type="text"/>		£ <input type="text"/>	£ <input type="text"/>
Artemis European Opportunities class R units	<input type="text"/>	<input type="text"/>	£ <input type="text"/>	£ <input type="text"/>
Artemis Global Energy class R units	<input type="text"/>		£ <input type="text"/>	£ <input type="text"/>
Artemis Global Growth class R units	<input type="text"/>		£ <input type="text"/>	£ <input type="text"/>
Artemis Global Income class R units	<input type="text"/>	<input type="text"/>	£ <input type="text"/>	£ <input type="text"/>
Artemis Global Select class R units	<input type="text"/>		£ <input type="text"/>	£ <input type="text"/>
Artemis High Income class R units		<input type="text"/>	£ <input type="text"/>	£ <input type="text"/>
Artemis Income class R units	<input type="text"/>	<input type="text"/>	£ <input type="text"/>	£ <input type="text"/>
Artemis Monthly Distribution class R units (the minimum investment is £10,000)	<input type="text"/>		£ <input type="text"/>	£ <input type="text"/>
Artemis Monthly Distribution class R units (the minimum investment is £10,000)		<input type="text"/>	£ <input type="text"/>	
Artemis Strategic Assets class R units	<input type="text"/>		£ <input type="text"/>	£ <input type="text"/>
Artemis Strategic Bond class QR units	<input type="text"/>	<input type="text"/>	£ <input type="text"/>	£ <input type="text"/>
Artemis Strategic Bond class MR units (the minimum investment is £10,000)	<input type="text"/>		£ <input type="text"/>	£ <input type="text"/>
Artemis Strategic Bond class MR units (the minimum investment is £10,000)		<input type="text"/>	£ <input type="text"/>	
Artemis UK Select class R units	<input type="text"/>		£ <input type="text"/>	£ <input type="text"/>
Artemis UK Smaller Companies class R units	<input type="text"/>		£ <input type="text"/>	£ <input type="text"/>
Artemis UK Special Situations class R units	<input type="text"/>		£ <input type="text"/>	£ <input type="text"/>
Total			£ <input type="text"/>	£ <input type="text"/>

For monthly payments please also remember to fill in a Direct Debit form and provide documents to verify your bank account.

Please ensure you indicate the type of units you wish to purchase by ticking the accumulation or distribution boxes. Failure to tick a box will result in your application being rejected, unless the fund chosen only has one unit type. Income received on accumulation units is reinvested into the fund automatically and is reflected in the value of units. Income received on distribution units can be paid out to you. Please fill in part E with your account details.

E Income payment – distribution units only

In this part, give details of the account you want us to pay any income into. If you are investing in an Artemis fund that offers distribution units/shares and you do not fill in this part, your investment will buy accumulation units/shares and you will not receive any income. If you are investing in the Artemis High Income Fund and you do not fill in this part, any income your units/shares earn will be reinvested.

Name of bank or building society	<input type="text"/>	Name the account is held in	<input type="text"/>
Address	<input type="text"/>	Branch sort code	<input type="text"/> <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> <input type="text"/>
		Account number	<input type="text"/>
		Building society roll number	<input type="text"/>

F ISA transfer instruction form

Instruction to transfer an ISA

Details of the ISA I am transferring

Stocks and Shares ISA ☐

Cash ISA ☐

Current ISA manager

Address

Title (Mr, Mrs, Ms and so on)

Surname

First names

ISA to be transferred and plan number

Information for current ISA managers

Cheques should be made payable to 'Artemis Fund Managers Limited'. You should send a full history of the ISA (or ISAs) to us as soon as you can. Artemis Fund Managers' transfer details: tax reference 9024095, HM Revenue & Customs reference Z1157.

Please choose the type of transfer

☐ Full transfer (as cash)

All funds held with your existing ISA manager will be sold and transferred as cash to Artemis, together with any cash balances.

☐ Full re-registration (only if you already hold Artemis Funds)

All Artemis funds held with your existing ISA manager will be transferred to us, together with any cash balances.

☐ Partial re-registration (only if you already hold Artemis Funds)

Please confirm the Artemis fund name, number of units to be transferred and up to date value.

☐ Partial transfer (as cash)

Please transfer % or £ of my total ISA holding currently held by you.

If this transfer instruction relates to a partial transfer of Artemis funds or cash, give details below.

Please transfer my current year's subscriptions. Yes ☐ No ☐
This can only be transferred in full.

I instruct my current ISA manager (named in this form) to transfer these units or sell and transfer cash within my ISA as shown, with immediate effect, and to transfer where applicable the money to the ISA Department, Artemis Fund Managers Limited, PO Box 9688, Chelmsford, CM99 2AE.

Signature

Date

F ISA transfer instruction form

Instruction to transfer an ISA

Details of the ISA I am transferring

Stocks and Shares ISA ☐

Cash ISA ☐

Current ISA manager

Address

Title (Mr, Mrs, Ms and so on)

Surname

First names

ISA to be transferred and plan number

Information for current ISA managers

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Please choose the type of transfer

☐ Full transfer (as cash)

All funds held with your existing ISA manager will be sold and transferred as cash to Artemis, together with any cash balances.

☐ Full re-registration (only if you already hold Artemis Funds)

All Artemis funds held with your existing ISA manager will be transferred to us, together with any cash balances.

☐ Partial re-registration (only if you already hold Artemis Funds)

Please confirm the Artemis fund name, number of units to be transferred and up to date value.

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Signature

Date



Artemis Fund Managers Limited

Registered in England, Registration number: 1988106.

Registered office: Cassini House, 57 St James's Street,
London, SW1A 1LD.

Authorised and regulated by the Financial Conduct Authority.



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Artemis Fund Managers Limited

Instruction to your Bank or Building Society to pay by Direct Debit
Please return this with your application form

Direct Debit

Please fill in the whole form and send it to:

Artemis Fund Managers Limited
PO Box 9688
Chelmsford CM99 2AE

To the manager (write the name of the bank or building society)

Bank/Building Society

Address

Postcode

Name(s) of account holder(s)


Bank/Building Society account number

Branch sort code

-

-

Banks and building societies may not accept Direct Debit instructions from some types of accounts



Service User Number

9

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9

5

0

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Reference

FOR ARTEMIS FUND MANAGERS LIMITED OFFICIAL USE ONLY

This is not part of the instruction to your bank or building society

To be filled in by monthly savers only.
We cannot accept lump-sum investments through the Direct Debit Scheme.
We will take the funds from your account on the first working day of each month.

Instruction to your bank or building society

Please pay Artemis Fund Managers Limited Direct Debits from the account detailed in this instruction subject to the safeguards assured by the Direct Debit Guarantee.
I understand that this instruction may remain with Artemis Fund Managers Limited and, if so, details will be passed electronically to my Bank/Building Society.

Signature(s)

Date

/

/

Artemis Fund Managers Limited

Instruction to your Bank or Building Society to pay by Direct Debit
Please return this with your application form

Direct Debit

Please fill in the whole form and send it to:

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Direct Debit Guarantee



This guarantee should be detached and retained by the payer.

- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits.
- If there are any changes to the amount, date or frequency of your Direct Debit Artemis Fund Managers Limited will notify you 10 working days in advance of your account being debited or as otherwise agreed. If you request Artemis Fund Managers Limited to collect a payment, confirmation of the amount and date will be given to you at the time of the request.
- If an error is made in the payment of your Direct Debit, by Artemis Fund Managers Limited or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society. If you receive a refund you are not entitled to, you must pay it back when Artemis Fund Managers Limited asks you to.
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.

Artemis Fund Managers Limited

Cassini House, 57 St James's Street, London SW1A 1LD
6th Floor, Exchange Plaza, 50 Lothian Road,
Edinburgh, EH3 9BY

Sales Support 0800 092 2090

Facsimile 020 7399 6498

Client Services 0800 092 2051

Facsimile 0845 076 2290

Website www.artemisfunds.com

