



## Platform Business Investment

# Application Form and Service Fee Agreement

**c•funds**



**FundsNetwork™**

## INSTRUCTIONS TO MAKE AN INVESTMENT THROUGH A PLATFORM VIA SEYMOUR SINCLAIR INVESTMENTS

- To receive a discount off the initial investment charges and reduced annual fees, please complete the application form. This will identify your personal details and which investment you wish to make. It also confirms that we will act as your agent for the investment on an execution only basis. This means that the choice of investment is yours and that we have not given any financial advice or recommendation and you have read and agree to our Terms of Business. (*Copies on request or available on our website*)
- **APPLICATION** - Complete this form and return it to us using our Freepost address. Please note whether you require Income or Accumulation units. Income will be paid to the same account as your cheque unless stated otherwise. If there is not enough room on this form for the funds you wish to purchase, please attach a note with further details. If you require any other application forms for an investment you wish to make, please contact us and we will send it out to you or it can be photocopied or downloaded from our website.

- **CHEQUES** - Always make your cheque payable to the Platform with whom you wish to make the investment  
**Make your cheque payable to: 'Cofunds Limited' for Cofunds or 'Fidelity' for FundsNetwork**

If you are using a building society cheque, please ensure that the society add 'reference (your name)' after the payee, stamp, sign and date on the back of the cheque. This is to confirm that the money has come from your personal savings account.

- **DO NOT** make your investment cheque payable to us as we will have to return it to you, which will cause a delay in an investment being made.
- Seymour Sinclair Investments take **no** initial commission or fees on platform investments.
- Contract notes will be sent to you directly by the Platform. However, all correspondence and notices of transactions can be found by logging onto the platform site through our website or you can request copies from us.

You can send your investment to us at: **FREEPOST - R T U S - R X E Y - B J S J, 2 BLADE MEWS, LONDON SW15 2NF**

**TO MAKE INVESTMENTS ONLINE WITH NO INITIAL FEES OR CHARGES VISIT OUR WEBSITE [WWW.SEYMOURSINCLAIR.CO.UK](http://WWW.SEYMOURSINCLAIR.CO.UK)**

### Charges and Fees:

**Platform Charges** - Generally the Fund Managers annual charge (AMC) is typically 0.75% p.a. but is less for some Bond and Income funds. Index Tracking Funds are lower at approx 0.06% p.a.

<u>Annual Fees</u>	<u>Cofunds</u>	<u>FundsNetwork</u>
Fund managers charge ( <i>typically</i> )	0.75%	0.75%
Platform Fee	0.23%	0.25%
Our Fee	0.20%	0.20%
<b>Total</b>	<b>1.18% p.a.</b>	<b>1.20% p.a.</b>

### How are service fees collected?

Cofunds and FundsNetwork will pay our service fee automatically by unit deduction each month and taken from your largest holding. If you wish fees to be paid from a different fund, please request this from us. Alternatively, fees can be paid from your platform cash account, but there will need to be enough money in this account to cover all fees and may need topping up on a regular basis.

### Service Fee Agreement:

By signing this form, I agree to pay **Seymour Sinclair Investments (SSI)** the service Fee(s) of 0.20% p.a. as stated on this form and in accordance with the **FundsNetwork (FN)** and **Cofunds (CF)** Client Terms. For the purpose of paying these fees I authorise and instruct FN / CF to sell units/shares from the fund nominated by me or deduct monies from my applicable Cash Account or redeem units/shares from my largest fund holding. I understand that FN / CF will receive the money from such sale, deduction or redemption as agent for my adviser, and that such money will become irrevocably due and payable to SSI on receipt by FN / CF, to satisfy the Adviser Fees. FN / CF will hold this money in a FN / CF corporate account as agent of SSI until payment is made to SSI. I understand that my liability to SSI in relation to the fee will be discharged on receipt of the fee money by FN / CF as my adviser's agent. Redemptions from my investments for Ongoing Fees will normally be made no later than the 10th of each month. I confirm that I have received a personalised illustration that shows the effect of the fees agreed on my investments. I understand that my regular contributions will not change should the Adviser Fee agreement be terminated. We may have to send you a further agreement to be signed, if requested to do so by the Platform.

Seymour Sinclair Investments Limited is authorised and regulated by the Financial Conduct Authority (FCA). We hold Professional Indemnity Insurance in accordance to the rules laid down by the FCA. Seymour Sinclair Investments Ltd is not offering investment advice regarding the investment of your choice. Nothing in the information we provide should be construed as constituting advice or recommendation. Contact us should you have any questions or require further applications and brochures.

**THE VALUE OF YOUR INVESTMENTS AND ANY INCOME THAT IT MAY PRODUCE CAN GO DOWN AS WELL AS RISE  
IF YOU ARE UNSURE ABOUT AN INVESTMENT YOU SHOULD SEEK EXPERT FINANCIAL ADVICE OR NOT INVEST  
IF AFTER SEEKING ADVICE, THERE ARE ANY ASPECTS ABOUT THE INVESTMENT YOU ARE STILL NOT SURE OF, DO NOT INVEST**

Authorised and Regulated by the Financial Conduct Authority. No: 177616 Registered Office: Silvene House, 37 Warren Street, London W1P 5PD. No. 3092534  
Member of The Association for Independent Discount and Non-Advisory Brokers - AIDB

Seymour Sinclair Investments is an independent private company and not affiliated to Cofunds Limited or FundsNetwork

**Seymour Sinclair Investments Limited, 2 Blade Mews, London SW15 2NF**  
**admin@seymoursinclair.co.uk 020 8870 7072 www.seymoursinclair.co.uk**

# Seymour Sinclair Platform Business Investment Application Form and Service Fee Agreement

To invest into your ISA or investment fund, please complete this form and return it to Seymour Sinclair Investments with your cheque made payable to the relevant Platform (*Cofunds or FundsNetwork*). There will be no initial charges and reduced annual fees.

As your investment is to be transacted on a **non-advised 'Execution Only'** sale basis where no advice or recommendation has been given as to its suitability, it is important that you understand the terms and conditions of the investment you are making. All information supplied on this form will be kept confidential by both Seymour Sinclair Investments and the Platform and will not be released to any third party. **Read the investment instructions carefully before investing. If you are unsure about an investment, you should seek financial advice.**

Please complete all boxes on this form. If we do not have this information, it may delay an investment being made.

## First Applicant

Name	<input type="text"/>	SSI Client No	<input type="text"/>
Address	<input type="text"/>	Telephone	<input type="text"/>
	<input type="text"/>	Post Code	<input type="text"/>
Email	<input type="text"/>		
National Insurance No	<input type="text"/>	Date of Birth	<input type="text"/>
Employment Status	<input type="text"/>	Country of Birth	<input type="text"/>

## Joint Holder (if applicable)

Name	<input type="text"/>	Date of Birth	<input type="text"/>
National Insurance No	<input type="text"/>	Country of Birth	<input type="text"/>
Employment Status	<input type="text"/>		

## Details of the Platform and Fund(s) you wish to invest into

Platform	<input type="checkbox"/> <b>Cofunds</b> or <input type="checkbox"/> <b>FundsNetwork</b> *tick as appropriate	A/C No	<input type="text"/>
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## Investment Amount

<b>ISA</b> £	<input type="text"/>	<b>NON – ISA</b> £	<input type="text"/>
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## Income Requirements

Paid Out	<input type="checkbox"/>	Reinvested	<input type="checkbox"/>
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## Fund Choices

1 <sup>st</sup> Fund	<input type="text"/>
2 <sup>nd</sup> Fund	<input type="text"/>
3 <sup>rd</sup> Fund	<input type="text"/>
4 <sup>th</sup> Fund	<input type="text"/>
5 <sup>th</sup> Fund	<input type="text"/>

## Unit Type

Income	<input type="checkbox"/>	Accumulation	<input type="checkbox"/>
Income	<input type="checkbox"/>	Accumulation	<input type="checkbox"/>
Income	<input type="checkbox"/>	Accumulation	<input type="checkbox"/>
Income	<input type="checkbox"/>	Accumulation	<input type="checkbox"/>
Income	<input type="checkbox"/>	Accumulation	<input type="checkbox"/>

TURN OVER TO SIGN

## Source of Funds *\*complete as appropriate*

Income Savings <input type="checkbox"/>	Sale of Investments <input type="checkbox"/>	Pension <input type="checkbox"/>	Sale of Property <input type="checkbox"/>	Gift <input type="checkbox"/>	Inheritance <input type="checkbox"/>	Other <input type="text"/>
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## Cheques Please send us **your cheque made payable to your chosen Platform**

For **Cofunds** make your cheque to '**Cofunds Limited**' ~ For **FundsNetwork** make your cheque to '**Fidelity**'

All income payments will be made to the bank details contained on your cheque unless otherwise stated.

## Legal information - Declaration for Cofunds or FundsNetwork Platform business

### I agree that:

This transaction will be carried out on the terms set out in the following documents that have been read online. (Details will be sent if requested)

1. The Terms and Conditions of the Platform, including the Investment Funds Customer Agreement;
2. Platform Key Information Document including the Investment Funds Key Features;
3. Fees & Charges Schedule including Fund Key Investor Information Documents/Fund Key Features (as applicable to your investment).

### I confirm that:

1. I have received the above documents and agree to be legally bound by the contents of the Terms & Conditions of Cofunds or FundsNetwork.
2. I give full authority to Seymour Sinclair Investments to instruct the Platform to carry out this transaction on my behalf.
3. I confirm that I have received a personalised illustration that shows the effect of the fees agreed on my investments. (available online or provided by post) Please tick if a copy is required?
4. I understand which fees and charges are applicable to this investment. (see attached for charges breakdown)
5. I understand that the default sell down mandate applies to the segment in respect of Seymour Sinclair fees of 0.20% p.a.
6. I understand that if there are insufficient funds within the relevant payment account, the Platform will sell enough assets to pay the fees and charges in accordance with the relevant Terms and Conditions of the Platform applicable to their pricing model.
7. I am resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with a person who performs such duties. I will inform the Platform if I cease to be so resident or to perform such duties or to be married to, or in a civil partnership with, a person who performs such duties.
8. All subscriptions made, and to be made, belong to me and that I am 18 years of age or over.
9. I confirm that I will supply anti-money laundering verification information if requested by Seymour Sinclair Investments or the Platform.
10. I confirm that I have neither sought or received financial advice from Seymour Sinclair Investments Limited (SSI) in connection with any financial product and that I have read and understood the SSI Terms of Business. (copies on our website) I understand the risks involved with the investment I am making and the terms under which it is being made and that it will be transacted on a non-advised "Execution Only" basis.

## 1<sup>st</sup> Applicant

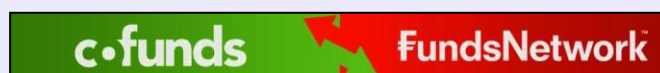
Signature

Date

## Joint Holder

Signature

Date



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