

# Unicorn Application Form Retail (A) Shares



Please complete this form with a ball point pen using BLOCK CAPITALS

The form should be returned to **Unicorn Asset Management Limited, PO Box, 10602, Chelmsford, Essex, CM1 9PD**

The form should be read in conjunction with the relevant Key Investor Information Document and Supplementary Information Document

If you have any questions while completing this form, please call 0845 026 4287 between 08:30 and 16:30 on any business day.

Your Details										
Title		Surname								
Forenames in full										
Account Designation (optional)										
Permanent Address										
Post code					Telephone					
Email address					Date of Birth					
Existing Account Number (if applicable)										
National Insurance Number										If you do not have a National Insurance number please tick this box

Investment
Please instruct how you would like your investment to be allocated

	Initial Investment	Monthly Contribution	Reinvest Income Yes/No
Free Spirit	£	£	
Mastertrust	£	£	
Outstanding British Companies	£	£	Yes *
UK Income	£	£	
UK Smaller Companies	£	£	
Total	£	£	

Lump sums must be for a minimum of £2,500 (minimum of £1,000 per fund). The minimum monthly amount for regular investors is £100 per month.

For regular savings please complete the Direct Debit form overleaf and enclose a cheque for your first monthly contribution. If you have not completed the 'Reinvest my income' box above, any income will be automatically reinvested together with any tax credits. If you wish to be paid income, you must complete the Income Mandate section below so that payment can be made directly into your bank or building society account.

\*Income from OBC Accumulation Shares is automatically reinvested.

### Income Mandate

To be completed if you want to have your income payments paid out to you. Income will automatically be re-invested if this section is not completed.

Name of bank / building society	
Address	
Post Code	
Account Name	
Account Number	
Sort Code	
Building society reference number	

### Financial Advisor Details

To be completed by an introducing agent

Name of Agent			
Agent reference		FSA Number	
Contact Name		Contact Telephone No:	
		Contact Email:	

Our default is that the intermediary has given advice on the enclosed investment (s), please note we must be advised at the point of each subsequent investment if advice has not been given. Please confirm if no advice has been given, please leave blank if you have advised the enclosed transaction(s)

No advice has been given

Authorised Signature: .....

<b>Initial &amp; Rebate Commissions,</b> (To be completed by Intermediaries where applicable and unless completed no Initial or Rebate payments will be made)		Financial Advisor Stamp (including address)
Initial Commission payable to intermediary	% (if applicable)	
Discount on remaining retained Initial Commission	% (if applicable)	
Initial Commission due to Fund Manager	% (if applicable)	
Rebate commission due to Intermediary	% (if applicable)	
Bank account for Commission Payments:		
Bank Name:		
Sort Code:		
Account No:		
Account Name:		
Specific terms relating to relating to the Initial and Rebate amounts must be agreed with Unicorn Asset Management or its representative prior to submission of this application form		

## Identity Verification

### For Individuals

In order to comply with Anti Money Laundering and Anti Terrorist Financing legislation, we need to verify your identity and address. Please enclose a copy of a passport or similar official document (certified by a professional person) and a utility bill which is less than three months old. (Certain alternative documents are permissible and a list of them is available on request)

### For IFAs

To confirm your client's identity and address please send either

An original or certified photocard identification and a document confirming the client's residence,

or

An Identity Verification certificate in a format suggested by the IMA,

## Declaration

### I declare that

- I am the beneficial owner of this investment
- I have read, understood and retained the Key Investor Information Document (KIID) in respect of each share class in which I wish to invest, in conjunction with the Supplementary Information Document (SID)
- I accept the terms and conditions
- I am not a national, Citizen or resident of the United States of America.
- I am not a corporation or partnership organized under the laws of the United States of America or having a principal place of business in the United States of America
- I am not applying for shares in order to offer, sell or transfer such shares to a U.S person, as defined in the prospectus, either directly or indirectly.

This application form has been completed to the best of my knowledge but should circumstances change, I will inform you immediately.

Signature

Date

	DD	MM	YY
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# unicorn

  
**ASSET MANAGEMENT**

Please fill in the whole form using a ball point pen and send it to

Unicorn Asset Management Limited  
PO Box 10602  
Chelmsford  
Essex  
CM1 9PD

Service user number

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Name(s) of account holder(s)


Bank/building society account number

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Branch sort code

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Name and full postal address of your bank or building society

To: The Manager	Bank/building society
Address	
Postcode	

Instruction to your bank or building society

Please pay Unicorn Asset Management Limited Direct Debits from the account detailed in this Instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this Instruction may remain with Unicorn Asset Management Limited and, if so, details will be passed electronically to my bank/building society.

Signature(s)
Date

Reference (to be completed by Unicorn)

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Banks and building societies may not accept Direct Debit Instructions for some types of account



Direct Debit Guarantee -This guarantee should be detached and retained by the payer.

- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits
- If there are any changes to the amount, date or frequency of your Direct Debit Unicorn Asset Management Limited will notify you ten working days in advance of your account being debited or as otherwise agreed. If you request Unicorn Asset Management Limited to collect a payment, confirmation of the amount and date will be given to you at the time of the request.
- If an error is made in the payment of your Direct Debit, by Unicorn Asset Management Limited or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society
  - If you receive a refund you are not entitled to, you must pay it back when Unicorn Asset Management Limited asks you to
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.