

INVESTMENT FUND APPLICATION



BNY MELLON

Please complete this form in blue or black ink, using CAPITAL letters and ticking the appropriate boxes.

This form can be used by individuals to:

- Make a lump sum investment or start a regular monthly payment into any BNY Mellon funds listed in our Fund Range brochure.

Important Information:

- **Before you sign this form:** you should read the Prospectus and Key Investor Information Document (KIID) for each fund in which you want to invest. The Prospectus and KIID can be found at www.bnymellonam.co.uk or call 0800 614 330.
- **Before you invest:** If you are unsure whether this investment is suitable for your needs, please consult your Financial Adviser. If you are a US Person (as defined in the prospectus relevant to the fund(s) in which I am investing), we are unable to accept your investment. To invest by debit card, please visit our website at www.bnymellonam.co.uk/myaccount or call us on 0800 614 330.

ADVISER DETAILS: Please tick this box if you are entitled to claim commission as defined by the rules in the FSA Conduct of Business Sourcebook (COBS)

Company name
BNY Mellon reference number

Adviser name
FSA number

IMPORTANT: As detailed in our Terms of Business you are responsible for selecting the appropriate share class for your client.

1 PERSONAL DETAILS

You cannot open an account if you are under the age of 18 years. An adult (legal owner) may open an account on behalf of a minor (beneficiary). If you wish to purchase shares on behalf of a minor please complete the Designation section below with the minor's initials (not a name) or date of birth (up to 8 characters). Please give the full name(s) of all investors.

INVESTOR 1

Mr Mrs Miss Ms Other

Forename(s)	
Surname	
Address	
	Postcode
Telephone (day)	
Telephone (evening)	
Email	

Date of birth

Client reference number (current investors only)

To add the details of up to two more investors, attach on a separate sheet giving their full name(s), address details, signature(s) and date of birth.

INVESTOR 2 (IF APPLICABLE)

Mr Mrs Miss Ms Other

Forename(s)	
Surname	
Address	
	Postcode
Telephone (day)	
Telephone (evening)	
Email	

Date of birth

Designation (e.g. child's date of birth or initials – cannot be a proper name)

2 YOUR INVESTMENT CHOICES

Please specify your choice of fund(s) and the lump sum and/or monthly amount you wish to invest. See our Fund Range brochure for details. For monthly contributions in a Regular Savings Plan, any income will be reinvested. Please ensure you complete the Direct Debit Mandate (overleaf) and send us your first payment by cheque, drawn on your account from which all future Direct Debits are to be collected. Investments must be made into the sterling denominated shares or units. You also need to select which share/unit type you require. **Client Classification:** Under FSA's Conduct of Business rules we are required to classify our investors. We have classified you as a Retail Client unless otherwise notified. This means that you will have the maximum amount of protection available for complaints and compensation, and will receive information in a straightforward way. However, some clients, such as Professional Investors, may not necessarily have the same rights under the Financial Ombudsman Service and the Financial Services Compensation Scheme. Further details may be found on our website: www.bnymellonam.co.uk.

Full name of the fund and, if relevant sub-fund	ISIN*	Lump sum amount min. amount £1000 per class of share/unit	Monthly amount min. amount £50 per class of share/unit
Fund:		£	£ per month
Fund:		£	£ per month
Fund:		£	£ per month
Fund:		£	£ per month
Fund:		£	£ per month

*This section must be completed. For a list of all our ISIN codes please refer to our Fund Range Brochure

Please use another sheet of paper if you require more space to list your choice of funds.



THE DIRECT DEBIT GUARANTEE *This guarantee should be detached and retained by the payer.*

This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits. If there are any changes to the amount, date or frequency of your Direct Debit, BNY Mellon Fund Managers Limited will notify you five working days in advance of your account being debited or as otherwise agreed. If you request BNY Mellon Fund Managers Limited to collect a payment, confirmation of the amount and date will be given to you at the time of the request. If an error is made in the payment of your Direct Debit, by BNY Mellon Fund Managers Limited or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society. If you receive a refund you are not entitled to, you must pay it back when BNY Mellon Fund Managers Limited asks you to. You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.

3 INSTRUCTION TO YOUR BANK OR BUILDING SOCIETY TO PAY BY DIRECT DEBIT

To be only completed by regular savers who wish to pay by Direct Debit.

Bank or building society name	Name(s) of account holder(s)	
Bank or building society address		
	Postcode	
Bank or building society account number	Sort code	
Building society reference/roll number (if applicable)		Service user number

Instruction to your bank or building society: Please pay BNY Mellon Fund Managers Limited Direct Debits from the account detailed in this instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this instruction may remain with BNY Mellon Fund Managers Limited and, if so, details will be passed electronically to my bank or building society.

Reference	9 0 1 5 1 0
Signature	Date

Banks and building societies may not accept Direct Debit instructions for some types of account.

4 INCOME INSTRUCTIONS – AVAILABLE FOR LUMP SUM INVESTMENTS ONLY

a Receiving income by direct credit

Complete this section if you would like income to be paid directly into your bank or building society account. For monthly contributions in a Regular Savings Plan, any income will be reinvested.

Please note that you can only receive income if you have selected income shares or units. Please note that BNY Mellon Fund Managers Limited does not accept any responsibility for the bank/building society account numbers you give here; you give these numbers entirely at your own risk so please check that they are correct.

I/We authorise BNY Mellon Fund Managers Limited to make payments of income arising from my/our holding by direct credit transfer to the bank or building society shown.

Bank or building society name	Name(s) of account holder(s)	
Address		
	Postcode	
Bank or building society account number	Sort code	
Building society reference/roll number (if applicable)		

b Reinvesting income

I/We would like my/our income to be reinvested in further shares/units (applicable to income share classes/units only).

5 HOW WE STORE AND USE YOUR INFORMATION

As part of the services provided to you, personal data you supply to us may be processed and held by us, or transferred to other companies within The Bank of New York Mellon Corporation group of companies (the "BNY Mellon Group"). We may disclose your information to BNY Mellon Group service providers, appropriate authorities (including, without limitation, any tax or regulatory authorities) and to credit reference agencies or similar service providers. This may involve transfers to countries outside the European Economic Area ("EEA"), including the United States and India, where data protection laws may not be as strong. Where such a transfer is required, BNY Mellon Fund Managers Limited and its associated companies within the BNY Mellon Group will take such appropriate technical and organisational measures to maintain security, prevent unauthorised or unlawful access to, or processing of your personal data and accidental loss or destruction of, or damage to, your personal data. Such measures shall (taking into account the state of technological development) be appropriate to the nature of the personal data processed by BNY Mellon Fund Managers Limited and/or its associated companies within the BNY Mellon Group. We will use your information to administer your investment, for fraud prevention and to analyse your investment needs. By providing us with this information, you consent to these transfers and uses, and to any disclosure required by law. You have a right to apply for a copy of the information we hold about you. We will keep you informed about products or services that may be of interest. I do not wish to be notified about BNY Mellon products or services or special offers. Please tick to opt out

6 DECLARATION AND AUTHORISATION

- I/We apply to make the investment(s) in the fund(s) specified in Section 2.
- I/We have received, read and understood the Key Investor Information Document for the Funds in which I/we wish to invest.
- I/We declare that I am/we are the beneficial owner or where I/we have designated this investment that I am/we are the legal owner(s).
- I/We agree that my/our investment will be governed by the Prospectus and the constitutional documents of the Fund(s).
- I am neither a US Person (as defined in the prospectus relevant to the fund(s) in which I am investing) nor am I applying for shares or units directly or indirectly for the benefit of any US Person and I hereby confirm that the prospectus and/or Key Investor Information Documents for the fund(s) has been made available and this application has been transmitted whilst I was outside the United States

Investor 1

Signature	Date
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Investor 2

Signature	Date
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To add the details of up to two more investors, attach on a separate sheet giving their full name(s), address details, signature(s) and date of birth.

RETURNING YOUR FORM

Please send your completed application form to: BNY Mellon Fund Managers Limited, Client Service Centre, PO Box 12041, Brentwood, CM14 9LS

Checklist

- Investing regularly:** Have you completed the Direct Debit Instruction in Section 3? Please note that it takes 10 business days to set up a new Direct Debit Instruction. Your Direct Debit payment is collected and invested on the 1st of each month or the next business day if it falls on a weekend or bank holiday. Send us your first payment by cheque drawn on your account from which all future Direct Debits are to be collected.
- Have you enclosed a cheque payable to BNY Mellon Fund Managers Limited?
- Income options:** Have you completed Section 4a to receive direct credit payments, or completed Section 4b to reinvest income?
- Have you read, signed and dated the Declaration and Authorisation (Section 6)?

HOW TO CONTACT US

0800 614 330 clientservices@bnymellon.com www.bnymellonam.co.uk

We are open Monday to Friday from 8:30am-5:30pm excluding public holidays. For your security and to improve the quality of our service, we may record and monitor telephone calls. Write to us: BNY Mellon Fund Managers Limited, Client Service Centre, PO Box 12041, Brentwood, CM14 9LS. Please note that information in an email cannot be guaranteed as secure. Do not include sensitive information in emails to BNY Mellon.