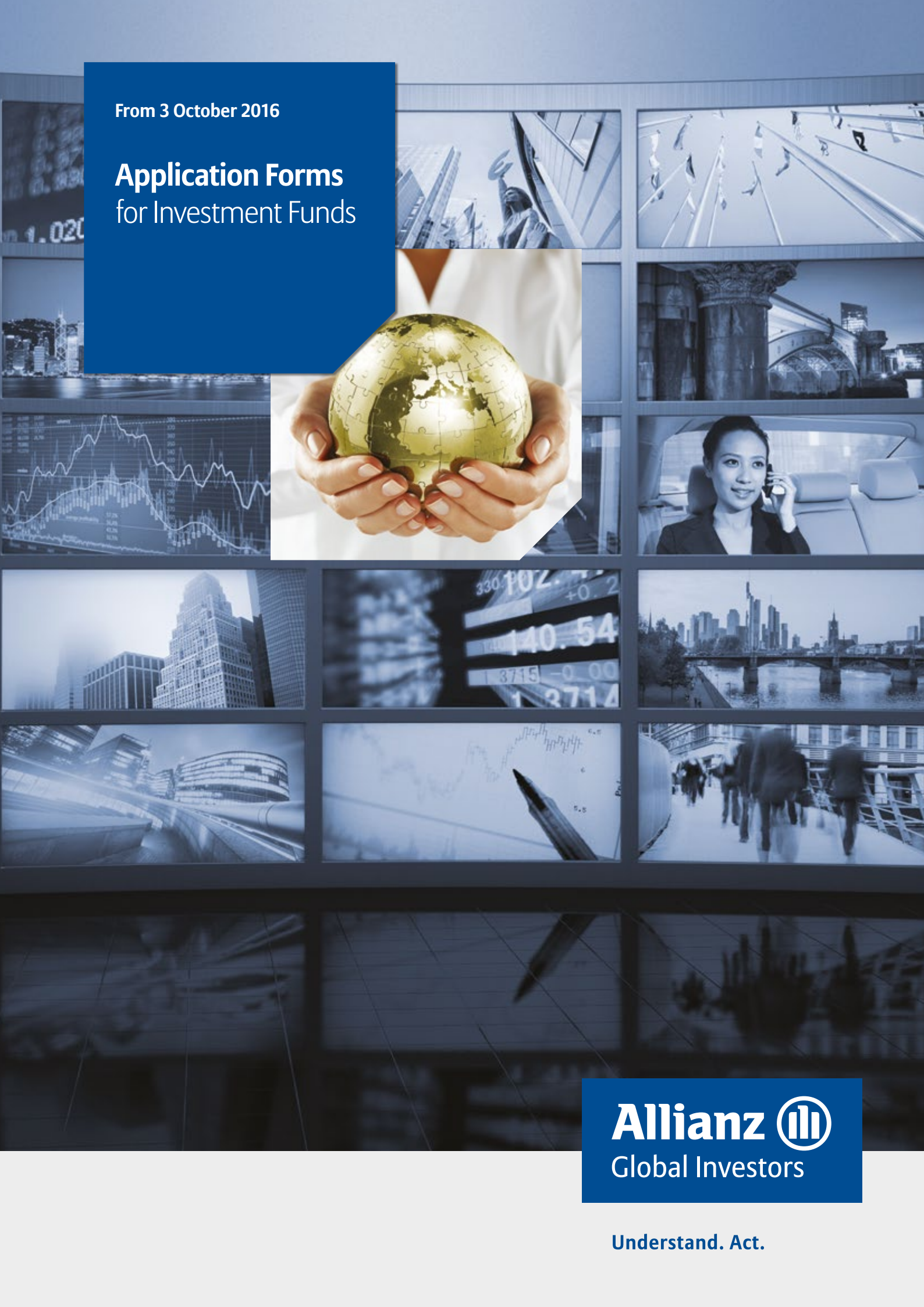


From 3 October 2016

## Application Forms for Investment Funds



**Allianz**   
Global Investors

Understand. Act.

## Questions?

**Investor Services**  
**0800 84 84 94**

For the full Prospectus and  
Manager Reports, please  
visit our website:

**www.allianzgi.co.uk**

For general administration  
and valuation queries,  
please contact:

**0800 073 2001**

## Welcome

Thank you for considering an investment with Allianz Global Investors. Depending on the nature of your investment you will have to fill in certain parts of this form. We have created a checklist below in order to help you establish what you need to complete and take you step by step through the process. The form itself begins overleaf; if you have any problems or questions please get in touch, our contact details are at the top of each page or on the side of this page.

## Application Checklist

### 1. Provide proof of Identity *(New customers only)*

Completed ☒

- Complete the **Identity Validation Certificate** ☐
- Provide proof of identity and address ☐

### 2. Read key documentation

Read ☒

- Read the relevant **Key Investor Information Document (KIID)** and the **Supplementary Information Document (SID)** available at [www.allianzglobalinvestors.co.uk](http://www.allianzglobalinvestors.co.uk) or from your financial advisor ☐

### 3. Complete the application form

Completed ☒

- Sign the application form once you are comfortable that you have read and understood the information within the KIID(s) and the SID ☐

### 4. Decide on method of payment

Completed ☒

- **Lump Sum:** For a single lump sum please enclose a cheque made payable to '**Allianz Global Investors GmbH/Your Name**' ☐  
OR
- **Regular Savings:** Please complete the **Direct Debit** section of the appropriate application form ☐

### 5. Return your form

Sent ☒

- Send your **completed** and **signed** application form(s) and your cheque (lump sum investments only) to **FREEPOST, RLTZ-CUAE-XKRX, Allianz Global Investors, PO Box 9031, Chelmsford, CM99 2WN** ☐

# Identity Validation Certificate

Money Laundering prevention regulations require that you complete this form and provide evidence of your address.

- Please complete both sides of this form.
- For **Joint Applications** each applicant must complete a separate form.
- For any **Third Party** – i.e. where the payer of the contribution is different to the applicant please complete a separate form for the applicant and one for the third party.
- **Two** forms of identification are required: one for your identity and one for your address (see the notes overleaf).
- Your Financial Advisor may complete this Identity Verification form on your behalf if you are opening a new investment on his/her recommendation.
- You can find your National Insurance number on your payslip or request it from your employer or Tax Office.

## 1. Personal Details

(we require this information to open your account)

Title (Mr, Mrs, Miss etc.)

Family Name

Given Name(s)

Permanent Residential Address




Postcode

Date of Birth

National Insurance no./Tax Reference

If you have changed your residential address in the last three months please provide the previous address




Postcode

## 2. Proof of Identity – choose 1 of 7

(please read notes 1-3 on the next page)

- Review the options below and decide which form of evidence you will use to verify your identity
- Complete all of the requested information
- Remember you can use a Current UK/EEA Photo Driving Licence and Current UK Full Driving Licence as evidence of your identity **or** address **but not both**

### 1. Passport No.

Date of Expiry

### 2. Resident Permit issued to EEA nationals by the Home Office Ref/Acc No.

Date of Expiry

### 3. CURRENT UK/EEA Photo Driving Licence No.

Date of Issue

### 4. CURRENT Full UK Driving Licence No. (old style)

Date of Issue

### 5. State Pension or Benefits Book/Notification Letter Ref/Acc No.

Issuing Authority

Date of Issue

### 6. Sub-Contractors Certificate Ref/Acc No.

Issuing Authority

Date of Issue

### 7. Inland Revenue Tax Notification Ref/Acc No.

Notice of Coding/Tax Assessment/Statement of Account  
(please delete as appropriate)

Date of Issue

## 3. Evidence of Your Address – choose 1 of 7

(please read notes 1-3 on the next page)

- Review the options below and decide which form of evidence you will use to verify your address
- Complete all of the requested information



# Application Form to Invest in our Funds or Top Up your Current Investment

## How to apply

- Complete this form if you wish to make an investment into an AllianzGI fund for the **first time** or if you wish to **add** to an **existing investment**.
- Please write in **BLOCK CAPITALS** and provide all required information.
- Please read the relevant **Key Investor Information Document (KIID)** and the **Supplementary Information Document (SID)** which can be found at [www.allianzglobalinvestors.co.uk](http://www.allianzglobalinvestors.co.uk) before signing this form.
- You may request copies of the latest Key Investor Information Document(s) (KIID(s)), Supplementary Information Document (SID), Manager's Report(s) or Full Prospectus, free of charge, by calling 0800 848 494.
- If there are more than two shareholders, please attach a separate sheet with additional shareholder details (maximum of four holders).
- Once complete, please return this form to FREEPOST, RLTX-CPAE-XKRX, Allianz Global Investors, PO Box 9031, Chelmsford, Essex, CM99 2WN.

## Information you should know

- Each fund may have a number of different share classes. Investments made using this form will be invested into **the 'C' Share class** – for more information on this or other shareclasses please contact your Financial Advisor or our team on 0800 84 84 94.
- Allianz Investment Funds are sub-funds of the Open-Ended Investment Companies: Allianz UK and European Investment Funds and Allianz International Investments Funds.

### 1. Personal Details – All Applicants

(we require this information to open your account)

#### Details of Holder

Title (Mr, Mrs, Miss etc.)

Family Name

Given Name(s)

Permanent Residential Address




Postcode

Date of Birth

Existing Account No.

#### Details of Joint Holder

Title (Mr, Mrs, Miss etc.)

Family Name

Given Name(s)

Permanent Residential Address




Postcode

Date of Birth

Existing Account No.

### 2. Beneficial Owner – All Applicants

**A beneficial owner is an individual who owns or controls more than 25% of the investment.**

I/We are the beneficial owner(s) of this investment? (please tick as appropriate)

YES ☐ NO ☐

**Only complete the section below if you have answered NO to the above.** Where there are more than two beneficial owners please complete their details on a separate sheet.

2. Beneficial Owner (Cont'd.)

Name of Beneficial Owner (1)

Title (Mr, Mrs, Miss etc.)

Family Name

Given Name(s)

Permanent Residential Address

Date of Birth

Beneficial owner declaration and signature

Name of Beneficial Owner (2)

Title (Mr, Mrs, Miss etc.)

Family Name

Given Name(s)

Permanent Residential Address

Date of Birth

Beneficial owner declaration and signature

3. Investment Choice – All Applicants

Please select the fund(s) you would like to invest in and write the amount(s) you would like to invest in the **single lump sum** (min. £500.) and/or the **monthly payments** (min. £50 per fund) boxes.

Allianz UK & European Equity Funds (accumulation shares)

	Initial £ or Top Up £	and/or	Monthly investment
Continental European	£		£
European Equity Income	£		£
UK Growth	£		£
UK Index	£		£
UK Mid Cap	£		£
UK Unconstrained	£		£

Allianz Bond Funds and Allianz income shares<sup>1</sup>

	Initial £ or Top Up £	and/or	Monthly investment
European Equity Income	£		£
Gilt Yield	£		£
Strategic Bond	£		£
UK Equity Income	£		£
UK Index	£		£

<sup>1</sup> Please complete section 5 regarding income payment/reinvestment. This option is only available for investors in Income Shares. All other funds accumulate income within the share price.

Allianz International Funds (accumulation shares)

	Initial £ or Top Up £	and/or	Monthly investment
Allianz RiskMaster:			
Conservative	£		£
Moderate	£		£
Growth	£		£
Emerging Markets Equity	£		£
Total Return Asian Equity	£		£
US Equity	£		£

Total Lump Sum Investment £

I would like to make an initial lump sum or top up investment (please total in box above) in the fund/s indicated and enclose a cheque payable to **Allianz Global Investors GmbH/My Name** for this amount to open or top up the account.

Total Monthly Investment £

I wish to invest a total of (please total in box above) per month in the fund(s) indicated and have completed the Direct Debit instruction overleaf.

- [illegible]

Branch Sort Code					

9	4	0	5	9	8
---	---	---	---	---	---

[illegible]

\_\_\_\_\_

Date

--

Date

[illegible][illegible][illegible]

Soft Code

6. Financial Adviser Details (if applicable)

Name and Address


Agent's stamp

Your FCA Number

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If you have a Customer Agreement with your client satisfying the requirement of the Financial Conduct Authority cancellation and withdrawal rules, please tick here:

☐

7. Declaration – All Applicants

- I/We confirm that I/we am/are eighteen years of age or over, and have been offered Key Investor Information Document(s) (KIID(s)) and the Supplementary Information Document (SID). I/We have read these documents including the Risk Factors and understood the Terms of this product.

Signature (1)

Date

D	D	M	M	Y	Y
---	---	---	---	---	---

Signature (2)

Date

D	D	M	M	Y	Y
---	---	---	---	---	---

Allianz Global Investors GmbH, is authorised and regulated by the Bundesanstalt für Finanzdienstleistungsaufsicht (BaFin). Allianz Global Investors GmbH has established a branch in the United Kingdom with branch registration no. 009058 – Allianz Global Investors GmbH, UK Branch – which is subject to limited regulation by the Financial Conduct Authority.

Data Protection

Client information will be transferred and processed by computer systems outside the European economic area so that Allianz Global Investors GmbH can provide the services requested. Such information may be passed on to third party service providers used by Allianz Global Investors GmbH. The use of personal information is covered by our registration under the Data Protection Act 1998 ("DPA"). Under the terms of the DPA, you, or a properly authorised intermediary acting on your behalf, may obtain a copy of the information held on the payment of the appropriate fee. Such requests should be made in writing and sent to Allianz Global Investors GmbH's registered address. To assist in confirming identity Allianz Global Investors GmbH may make searches with credit reference agencies who will supply us with credit information, as well as information from other sources including the Electoral Register. The agencies may record details of the search. We may also pass information to law enforcement agencies. We will occasionally tell you more about our prices and products and services, which we believe will be of interest to you.

If you would prefer not to receive such information, please tick this box.

☐

Note

- When you have completed this application form, we recommend that you keep a copy of it for your records.

# Application Form to Switch/Transfer your Investment to Another AllianzGI Fund

This form is used to switch your investment from one Allianz fund to another Allianz fund.

## How to apply

- Please write in **BLOCK CAPITALS** and provide all required information.
- Please read the relevant **Key Investor Information Document (KIID)** and the **Supplementary Information Document (SID)** which can be found at [www.allianzglobalinvestors.co.uk](http://www.allianzglobalinvestors.co.uk) before signing this form.
- You may request copies of the latest KIID(s), SID, Manager's Report(s) or Full Prospectus, free of charge, by calling 0800 848 494.
- Before you invest, please speak to your financial advisor if you are unsure if the investment is right for you.
- Once complete, please return this form to FREEPOST, RLTX-CUAE-XKRX, Allianz Global Investors, PO Box 9031, Chelmsford, Essex, CM99 2WN.

## Information you should know

- Under US law, if you are resident in the USA we are unable to switch your investment. Should you submit an application to us it will be rejected and returned to you.
- Each fund may have a number of different share classes. Investments made using this form will be invested into the **'C' Share class** – for more information on this or other share classes please contact your Financial Advisor or our team on 0800 84 84 94.
- Allianz Investment Funds are sub-funds of the Open-Ended Investment Companies: Allianz UK and European Investment Funds and Allianz International Investments Funds.

## 1. Personal Details – All Applicants

(we require this information to open your account)

### Details of Holder

Title (Mr, Mrs, Miss etc.)

--	--	--	--

Family Name

[illegible]

Given Name(s)

[illegible]

Permanent Residential Address

[illegible]

Date of Birth

D	D	M	M	Y	Y
---	---	---	---	---	---

Existing Account No.

[illegible]

### Details of Joint Holder

Title (Mr, Mrs, Miss etc.)

--	--	--	--

Family Name

[illegible]

Given Name(s)

[illegible]

Permanent Residential Address

[illegible]

Date of Birth

D	D	M	M	Y	Y
---	---	---	---	---	---

Existing Account No.

[illegible]

## 2. Beneficial Owner – All Applicants

**A beneficial owner is an individual who owns or controls more than 25% of the investment.**

I/We are the beneficial owner(s) of this investment? (please tick as appropriate)

YES NO

**Only complete the section below if you have answered NO to the above.** Where there are more than two beneficial owners please complete their details on a separate sheet.

2. Beneficial Owner (Cont'd.)

Name of Beneficial Owner (1)

Title (Mr, Mrs, Miss etc.)

Family Name

Given Name(s)

Permanent Residential Address

Postcode

Date of Birth

D

D

M

M

Y

Y

Beneficial owner declaration and signature

Name of Beneficial Owner (2)

Title (Mr, Mrs, Miss etc.)

Family Name

Given Name(s)

Permanent Residential Address

Postcode

Date of Birth

D

D

M

M

Y

Y

Beneficial owner declaration and signature

3. Switching Details

- Provide the **full name** of the fund(s) you wish to sell and the fund(s) you wish to purchase.
- Please include the share class and type ( Income or Accumulation).
- You can switch your full holding or part of your holding. If you switch only part of your holding you must keep the minimum holding. Minimum holding information can be found in the relevant prospectus.
- You must meet the minimum investment limit for any fund you are purchasing.

Full name of the fund(s) you are switching from:	Value to be switched (or write FULL if switching total holding)	Share/Unit Type (please tick as appropriate)	Cancel direct debit (please tick)
	£	Income <input type="checkbox"/> Accumulation <input checked="" type="checkbox"/>	<input type="checkbox"/>
	£	Income <input type="checkbox"/> Accumulation <input checked="" type="checkbox"/>	<input type="checkbox"/>
	£	Income <input type="checkbox"/> Accumulation <input checked="" type="checkbox"/>	<input type="checkbox"/>
	£	Income <input type="checkbox"/> Accumulation <input checked="" type="checkbox"/>	<input type="checkbox"/>
	£	Income <input type="checkbox"/> Accumulation <input checked="" type="checkbox"/>	<input type="checkbox"/>
	£	Income <input type="checkbox"/> Accumulation <input checked="" type="checkbox"/>	<input type="checkbox"/>

Full name of the fund(s) you are switching into:	If sale proceeds to be divided between funds, please indicate the percentage split	Share/Unit Type (please tick as appropriate)
	%	Income <input type="checkbox"/> Accumulation <input checked="" type="checkbox"/>
	%	Income <input type="checkbox"/> Accumulation <input checked="" type="checkbox"/>
	%	Income <input type="checkbox"/> Accumulation <input checked="" type="checkbox"/>
	%	Income <input type="checkbox"/> Accumulation <input checked="" type="checkbox"/>
	%	Income <input type="checkbox"/> Accumulation <input checked="" type="checkbox"/>

If you choose to invest in an income share class please complete section 4 overleaf.

#### 4. Income Instructions (for income shares only)

(A) Receiving Income by direct credit

- Complete this section so that income from any of the funds you are purchasing can be paid direct to your bank or building society account. Please note that Allianz Global Investors does not accept any responsibility for the bank/building society account numbers you give here; you give these numbers entirely at your own risk so please check that they are correct.

I/We authorise Allianz Global Investors GmbH to make payments of income arising from my/our holding by direct credit transfer to the bank or building society shown.

Name and Branch Address of your Bank or Building Society

Name and Branch Address of your Bank or Building Society												
Name and Branch Address of your Bank or Building Society					Postcode							

Name(s) of Account Holder(s)

[illegible]

Account Name

[illegible]

Bank/Building Society Account Number

[illegible]

Sort Code

Sort Code		Sort Code		Sort Code	

### (B) Reinvesting Income

- If you choose to reinvest income from any fund, the acquisition of further shares in the applicable fund(s) will be made at the first available valuation point.
- Please tick the box below if you would like the income to be invested

I/We would like my/our income to be reinvested in further shares

1

## 5. Declaration – All Applicants

- Important: If there is more than one shareholder registered in any of the funds specified in Section 3 to be sold, please ensure they all sign this authorisation.
- I/We confirm that I/we am/are eighteen years of age or over, and have been offered Key Investor Information Document(s) (KIID(s)) and the Supplementary Information Document (SID).
- I/We have read these documents including the Risk Factors and understood the Terms of this product.
- I/We hereby authorise the switch between funds/classes as instructed on this form.
- I am/We are not resident in the USA and agree to notify Allianz Global Investors GmbH immediately in the event that I/we become resident in the USA.

Signature (1)

Date \_\_\_\_\_

D	D	M	M	Y	Y
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Signature (2)

--	--

Date \_\_\_\_\_

DD MM YY

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## Data Protection

Client information will be transferred and processed by computer systems outside the European economic area so that Allianz Global Investors GmbH can provide the services requested. Such information may be passed on to third party service providers used by Allianz Global Investors GmbH. The use of personal information is covered by our registration under the Data Protection Act 1998 ("DPA"). Under the terms of the DPA, you, or a properly authorised intermediary acting on your behalf, may

obtain a copy of the information held on the payment of the appropriate fee. Such requests should be made in writing and sent to Allianz Global Investors GmbH's registered address. To assist in confirming identity Allianz Global Investors GmbH may make searches with credit reference agencies who will supply us with credit information, as well as information from other sources including the Electoral Register. The agencies may record details of the search. We may also pass information to law enforcement agencies. We will occasionally tell you more about our prices and products and services, which we believe will be of interest to you.

If you would prefer not to receive such information, please tick this box.

☐

#### Note

1. When you have completed this application form, we recommend that you keep a copy of it for your records.

# Money Laundering Requirements

To satisfy money laundering requirements, investors may need to provide documentary evidence of their identity and address. This can be done by completing the Identity Verification Certificate and returning it, along with your application form and certain personal identity documents.

For investors investing on the recommendation of a financial adviser, their adviser may complete the identity verification procedures on their behalf. There may be circumstances when we will request additional proof of identity from investors. Whilst this does not usually cause any delay in processing instructions, any failure by the Investor to provide the information requested may lead us to refuse to act on any instruction to open or transfer accounts, to issue or redeem any shares, or release any monies held. We will not be liable for any movement in share prices until the money laundering requirements have been satisfied.

# Important information

Investing involves risk. The value of an investment and the income from it may fall as well as rise and investors may not get back the full amount invested. The volatility of fund unit prices may be increased or even strongly increased. Past performance is not a reliable indicator of future results. If the currency in which the past performance is displayed differs from the currency of the country in which the investor resides, then the investor should be aware that due to the exchange rate fluctuations the performance shown may be higher or lower if converted into the investor's local currency.

This is for information only and not to be construed as a solicitation or an invitation to make an offer, to conclude a contract, or to buy or sell any securities. The products or securities described herein may not be available for sale in all jurisdictions or to certain categories of investors. This is for distribution only as permitted by applicable law and in particular not available to residents and/or nationals of the USA. The investment opportunities described herein do not take into account the specific investment objectives, financial situation, knowledge, experience or specific needs of any particular person and are not guaranteed. The views and opinions expressed herein, which are subject to change without notice, are those of the issuer and/or its affiliated companies at the time of publication. The data used is derived from various sources, and assumed to be correct and reliable, but it has not been independently verified; its accuracy or completeness is not guaranteed and no liability is assumed for any direct or consequential losses arising from its use, unless caused by gross negligence or wilful misconduct. The conditions of any underlying offer or contract that may have been, or will be, made or concluded, shall prevail.

Contact the issuer electronically or via mail at the address indicated below for a free copy of the sales prospectuses, the incorporation documents, the latest annual and semi-annual financial reports and the key investor information document in English. Please read these documents - which are solely binding - carefully before investing.

This is a marketing communication issued by Allianz Global Investors GmbH, [www.allianzgi.com](http://www.allianzgi.com), an investment company with limited liability, incorporated in Germany, with its registered office at Bockenheimer Landstrasse 42-44, 60323 Frankfurt/M, Germany, registered with the local court Frankfurt/M under HRB 9340, authorised by Bundesanstalt für Finanzdienstleistungsaufsicht ([www.bafin.de](http://www.bafin.de)). Allianz Global Investors GmbH has established a branch in the United Kingdom, Allianz Global Investors GmbH, UK branch, [www.allianzglobalinvestors.co.uk](http://www.allianzglobalinvestors.co.uk), which is subject to limited regulation by the Financial Conduct Authority ([www.fca.org.uk](http://www.fca.org.uk)). Details about the extent of our regulation by the Financial Conduct Authority are available from us on request. The duplication, publication, or transmission of the contents, irrespective of the form, is not permitted.

# How to contact us

## Correspondence address

Freepost  
RLTZ-CUAE-XKRX  
Allianz Global Investors GmbH  
UK Branch  
PO Box 9031  
Chelmsford  
Essex  
CM99 2WN

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## The Direct Debit Guarantee



- This Guarantee is offered by all banks and building societies that accept instruction to pay Direct Debits
- If there are any changes to the amount, date or frequency of your Direct Debit Allianz Global Investors GmbH will notify you 10 working days in advance of your account being debited or as otherwise agreed. If you request Allianz Global Investors GmbH to collect a payment, confirmation of the amount and date will be given to you at the time of the request
- If an error is made in the payment of your Direct Debit, by Allianz Global Investors GmbH or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society.
  - If you receive a refund you are not entitled to, you must pay it back when Allianz Global Investors GmbH asks you to.
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.

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